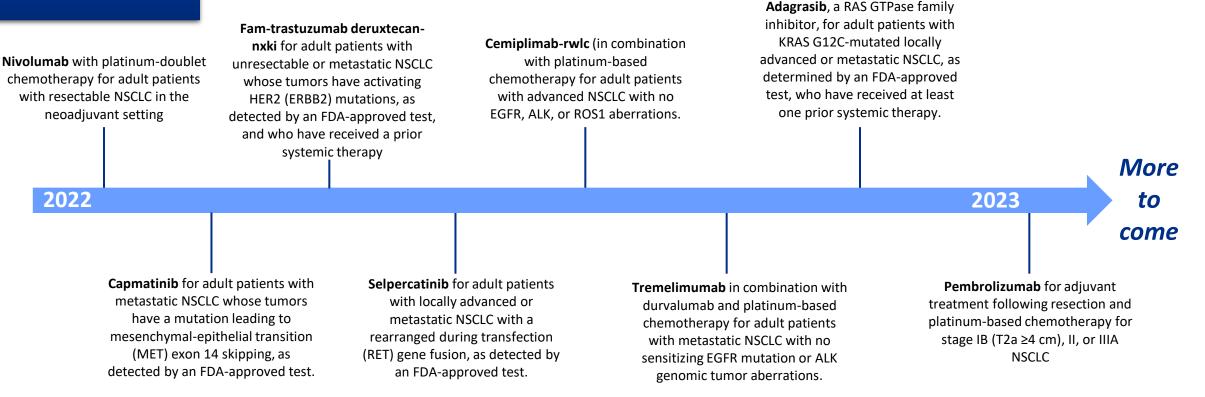
# Applications for Community Oncology

Lung Cancer Data Review

April 20, 2023



#### FDA APPROVALS



- On March 4, 2022, the Food and Drug Administration approved nivolumab (Opdivo, Bristol-Myers Squibb Company) with platinum-doublet chemotherapy for adult patients with resectable non-small cell lung cancer (NSCLC) in the neoadjuvant setting.
- On August 10, 2022, the Food and Drug Administration granted regular approval to capmatinib (Tabrecta, Novartis Pharmaceuticals Corp.) for adult patients with metastatic non-small cell lung cancer (NSCLC) whose tumors have a mutation leading to mesenchymal-epithelial transition (MET) exon 14 skipping, as detected by an FDA-approved test.
- On August 11, 2022, the Food and Drug Administration granted accelerated approval to fam-trastuzumab deruxtecan-nxki (Enhertu, Daiichi Sankyo, Inc.) for adult patients with unresectable or metastatic non-small cell lung cancer (NSCLC) whose tumors have activating human epidermal growth factor receptor 2 HER2 (ERBB2) mutations, as detected by an FDA-approved test, and who have received a prior systemic therapy. This is the first drug approved for HER2-mutant NSCLC.
- On September 21, 2022, the Food and Drug Administration granted regular approval to selpercatinib (Retevmo, Eli Lilly and Company) for adult patients with locally advanced or metastatic non-small cell lung cancer (NSCLC) with a rearranged during transfection (RET) gene fusion, as detected by an FDA-approved test.
- On November 8, 2022, the Food and Drug Administration approved cemiplimab-rwlc (Libtayo, Regeneron Pharmaceuticals, Inc.) in combination with platinum-based chemotherapy for adult patients with advanced non-small cell lung cancer (NSCLC) with no EGFR, ALK, or ROS1 aberrations.
- On November 10, 2022, the Food and Drug Administration approved tremelimumab (Imjudo, AstraZeneca Pharmaceuticals) in combination with durvalumab (Imfinzi, AstraZeneca Pharmaceuticals) and platinumbased chemotherapy for adult patients with metastatic non-small cell lung cancer (NSCLC) with no sensitizing epidermal growth factor receptor (EGFR) mutation or anaplastic lymphoma kinase (ALK) genomic tumor aberrations.
- On January 26, 2023, the Food and Drug Administration (FDA) approved pembrolizumab (Keytruda, Merck) for adjuvant treatment following resection and platinum-based chemotherapy for stage IB (T2a ≥4 cm), II, or IIIA non-small cell lung cancer (NSCLC).



Positive high-level results from a planned interim analysis of the **AEGEAN** Phase III, placebo- controlled trial showed that treatment with AstraZeneca's Imfinzi (durvalumab) in combination with neoadjuvant chemotherapy before surgery and as adjuvant monotherapy after surgery demonstrated a statistically significant and clinically meaningful improvement in EFS versus neoadjuvant chemotherapy alone followed by surgery for patients with resectable early-stage (IIA-IIIB) NSCLC. (*AACR 2023*)

Merck announces Phase 3 **KEYNOTE-671** trial met primary endpoint of event-free survival (EFS) after treatment with perioperative pembrolizumab + platinum-based chemotherapy in patients with resectable stage II, IIIA OR IIIB non-small cell lung cancer. (*The FDA has set a Prescription Drug User Fee Act date of October 16, 2023*)

Positive high-level results from the **ADAURA** Phase III trial showed AstraZeneca's Tagrisso (osimertinib) demonstrated a statistically significant and clinically meaningful improvement in OS, a key secondary endpoint, compared to placebo in the adjuvant treatment of patients with early-stage (IB, II and IIIA) EGFRm NSCLC after complete tumor resection with curative intent.

Amgen announces encouraging antitumor activity from the **DeLLphi300** clinical trial, a Phase 1 dose exploration and expansion study evaluating the safety and efficacy of investigational tarlatamab, a potential first-in-class half-life extended bispecific T-cell engager (HLE BiTE<sup>®</sup>) molecule targeting delta-like ligand 3 (DLL3), in small cell lung cancer (SCLC)



# **Key Studies**

#### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung3
- CheckMate-9LA
- CheckMate-227
- POSEIDON

Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreak 100/101
- EXCLAIM
- ALTA-1L



# Does adjuvant osimertinib after complete resection benefit patients with stage IB–IIIA EGFR-mutated NSCLC?

Updated analysis



#### **KEY DATA**

## ADAURA

### Study Design: Randomized, international double-blinded phase III

- Patients with completely resected • stage IB/II/IIIA NSCLC with negative margins
- Confirmed primary nonsquamous • NSCLC with EGFR ex19del or L858R\*
- Aged  $\geq 18$  yr ( $\geq 20$  yr in Japan/Taiwan) •
- WHO PS 0/1 ٠
- Brain imaging done ٠
- With or without adjuvant CT •
- Maximum time between surgery and • randomization:
  - 10 wk without adjuvant CT,
  - 26 wk with adjuvant CT

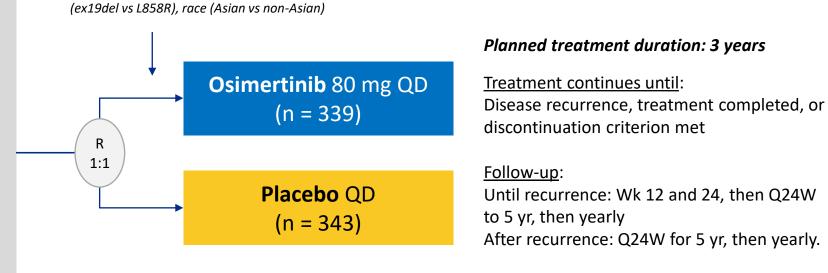
(N = 682)

\*Confirmed centrally in tissue.

**Primary endpoints**: investigator-assessed DFS in patients with stage II/ IIIA disease designed to test superiority with assumed DES HR of 0.70 **Key secondary endpoints**: DFS in overall population, landmark DFS rates at 2, 3, 4, and 5 years, OS, HRQoL, safety

Stratified by stage (IB vs II vs IIIA), EGFR mutation

Data cutoff: April 11, 2022

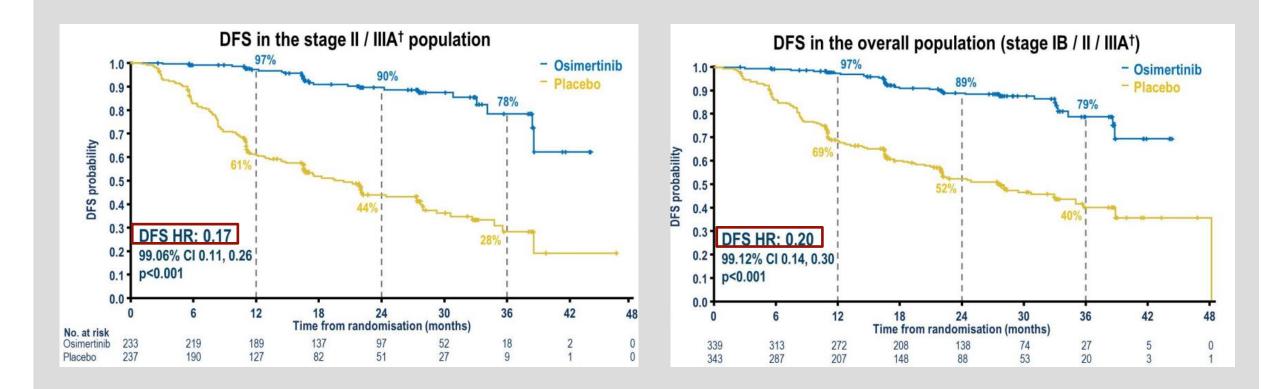


**Exploratory endpoints**: patterns of recurrence; time to CNS recurrence (CNS DFS)

ESMO 2022, Abstr LBA47

## ADAURA

#### Primary Analysis: DFS (previously reported)



#### **Baseline Characteristics**

| Characteristic                     | Osimertinib<br>(n = 339) | Placebo<br>(n = 343) | Characteristic, %                          | Osimertinib<br>(n = 339) | Placebo<br>(n = 343) |
|------------------------------------|--------------------------|----------------------|--|--------------------------|----------------------|
| Female, %                          | 68                       | 72                   | AJCC staging at diagnosis                  |                          |                      |
| Median age, yr (range)             | 64 (30-86)               | 62 (31-82)           | (7th edition)<br>■ IA                      | 0                        | 0                    |
| Smokeing history                   |                          |                      | ■ IB                                       | 32                       | 31                   |
| yes/no,* %                         | 32/68                    | 25/75                | •  | 33                       | 34                   |
| Asian/non-Asian, %                 | 64/36                    | 64/36                | ■ IIIA<br>■ IIIB                           | 35<br>0                  | 35<br>0              |
| WHO PS 0/1, %                      | 63/37                    | 64/36                | AJCC staging at diagnosis<br>(8th edition) |                          |                      |
| Adenocarcinoma/                    | 96/4                     | 97/3                 | ■ IA                                       | 1                        | <1                   |
| other histology, %                 |                          | ,                    | ■ IB                                       | 30                       | 29                   |
| EGFR ex19del/L858R, <sup>+</sup> % | 55/45                    | 55/45                | • 11                                       | 33                       | 35                   |
|                                    |                          |                      | ■ IIIA                                     | 32                       | 34                   |
| Adjuvant CT yes/no, %              | 60/40                    | 60/40                | ■ IIIB                                     | 3                        | 2                    |

\*Former smoker: osimertinib, n = 104; placebo, n = 83; current smoker: osimertinib, n = 4; placebo, n = 3.

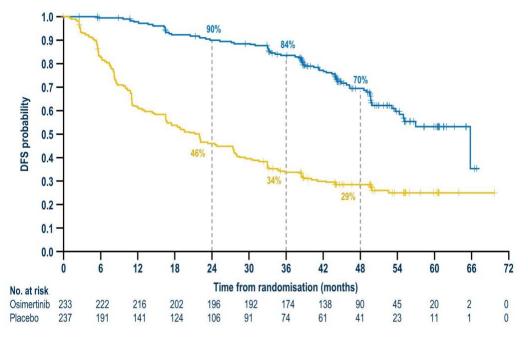
+Central test.

**KEY DATA** 

#### Primary Endpoint: Updated DFS

| DFS in Stage II/IIIA     | Osimertinib<br>(n = 233) | Placebo<br>(n = 237) |  |
|--------------------------|--------------------------|----------------------|--|
| Median DFS, mo (95% CI)* | 65.8 (54.4-NC)           | 21.9 (16.6-27.5)     |  |
| HR (95% CI)              | <b>0.23</b> (0.18-0.30)  |                      |  |

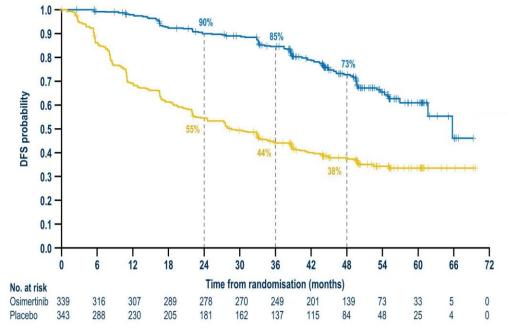
#### Stage II / IIIA Disease



\*Maturity 51%; osimertinib 32%, placebo 70%.

| DFS in Stage IB/II/IIIA              | Osimertinib<br>(n = 239) | Placebo<br>(n = 343) |  |
|--------------------------------------|--------------------------|----------------------|--|
| Median DFS, mo (95% CI) <sup>+</sup> | 65.8 (61.7-NC)           | 28.1 (22.1-35.0)     |  |
| HR (95% CI)                          | <b>0.27</b> (0.21-0.34)  |                      |  |

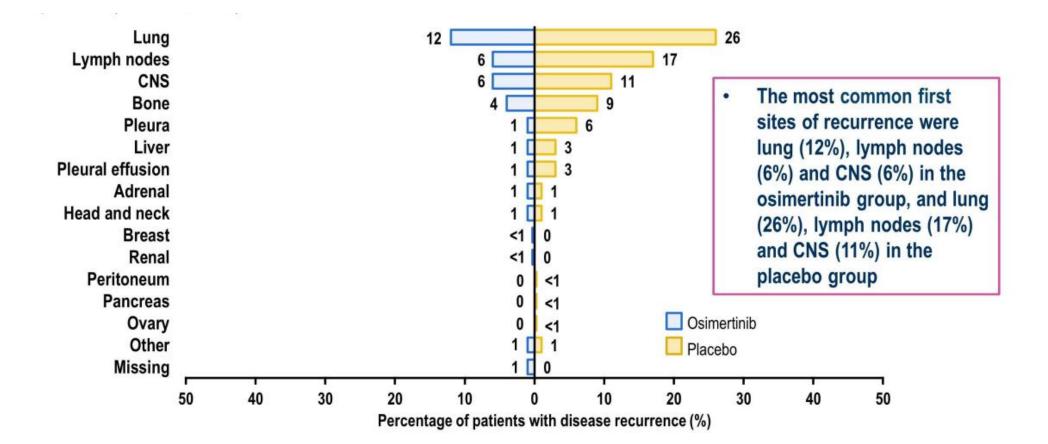
#### **Overall population (Stage IB / II / IIIA Disease)**



<sup>†</sup>Maturity 45%; osimertinib 28%, placebo 62%.

**KEY DATA** 

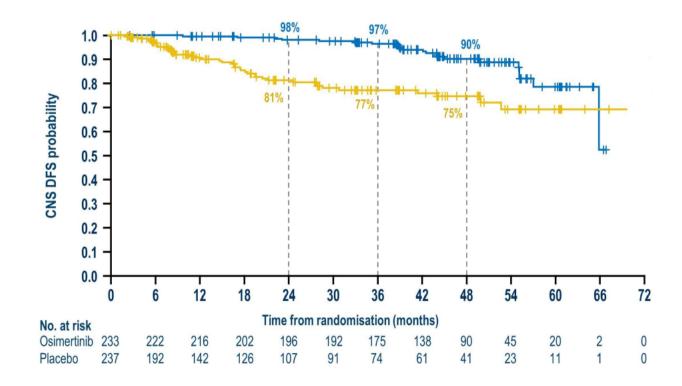
#### **Disease Recurrence**



ESMO 2022, Abstr LBA47

## ADAURA

#### Updated CNS DFS in patients with Stage II / IIIA Disease



\*Maturity 13%; Osimertinib 9%, placebo 17%.

<sup>†</sup>Define as CNS as the first site of disease recurrence, or death without any disease recurrence.

| Analysis in Stage II/IIIA Disease                                      | Osimertinib<br>(n = 233) | Placebo<br>(n = 237) |
|--|--------------------------|----------------------|
| <ul><li>CNS DFS events, n</li><li>On tx at CNS recurrence, n</li></ul> | 22<br>3                  | 41<br>29             |
| Median CNS DFS,<br>mo (95% CI)*  | NR<br>(65.8-NC)          | NR<br>(NC-NC)        |
| HR (95% CI)  | <b>0.24</b> (0.1         | 4-0.42)              |
| Probability of CNS recurrence at 36 mo, %                              | 2                        | 13                   |
| (95% CI)   | (0.9-5.0)                | (8.5-18.5)           |

In total, 63 patients had CNS DFS events<sup>+</sup>

Patients on treatment at time of CNS DFS:

- 14% with osimertinib
- 71% with placebo

#### **Updated Safety**

| AE, n (%)  | Osimertinib<br>(n = 337) | Placebo<br>(n = 343) |
|--|--------------------------|----------------------|
| Any-cause AE*                                    | 330 (98)                 | 309 (90)             |
| • Grade ≥3 AE                                    | 79 (23)                  | 48 (14)              |
| AE leading to death                              | 1 (<1)                   | 2 (1)                |
| Serious AE                                       | 68 (20)                  | 47 (14)              |
| <ul> <li>AE leading to d/c</li> </ul>            | 43 (13)                  | 9 (3)                |
| <ul> <li>AE leading to dose reduction</li> </ul> | 42 (12)                  | 3 (1)                |
| AE leading to dose interrupt                     | 91 (27)                  | 43 (13)              |
| Possibly causally related AE <sup>+</sup>        |                          |                      |
| • Any AE   | 308 (91)                 | 199 (58)             |
| • Grade ≥3 AE                                    | 36 (11)                  | 7 (2)                |
| AE leading to death                              | 0                        | 0                    |
| Serious AE                                       | 10 (3)                   | 2 (1)                |

#### **Completed planned 3-yr duration:**

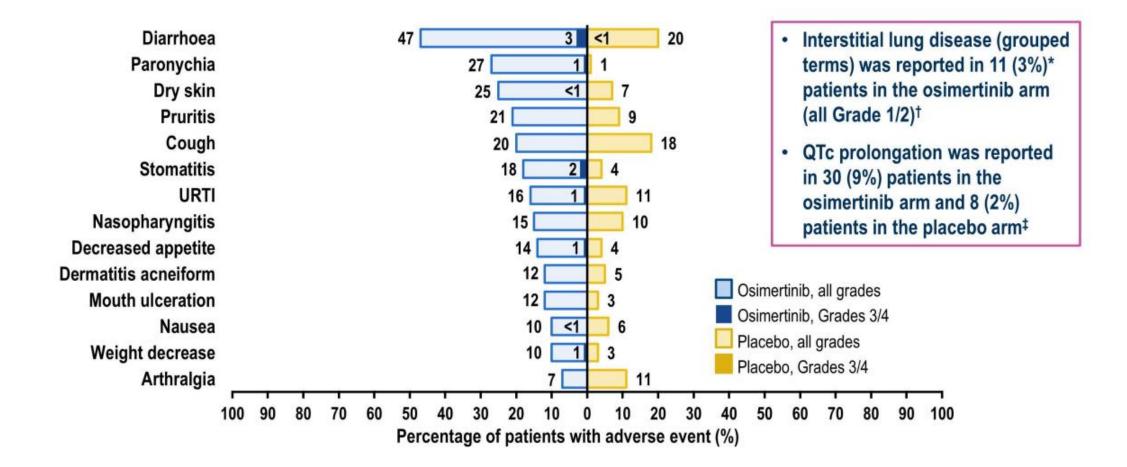
- Osimertinib: 222/337 (66%)
- Placebo: 139/343 (41%)

#### Median duration of exposure:

- Osimertinib: 35.8 mo (range: 0-38)
- Placebo: 25.1 mo (range: 0-39)

**KEY DATA** 

#### **Updated Safety**





## ADAURA

- With 2 additional years of follow-up, adjuvant osimertinib significantly prolonged DFS vs placebo following complete resection of stage IB/II/IIIA EGFR+ NSCLC
  - 77% reduction in risk of recurrence or death with osimertinib in stage II/IIIA disease (primary endpoint; HR: 0.23; 95% CI: 0.18-0.30)
  - Median DFS: 65.8 mo with osimertinib vs 21.9 mo with placebo
  - 73% reduction in the risk of recurrence or death with osimertinib in overall population (HR: 0.27), including stage IB, II, and IIIA disease
  - DFS prolonged with osimertinib across subgroups, regardless of receipt of prior adjuvant CT
  - Clinically meaningful improvement in CNS DFS in stage II-IIIA (HR: 0.24; 95% CI: 0.14-0.42)
- No new safety signals observed with osimertinib

# Osimertinib should be standard of care in the adjuvant setting for patients with stage IB/II/IIIA EGFR-mutated NSCLC following complete resection



# **Key Studies**

#### Neo/Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung3
- CheckMate -9LA
- CheckMate-227
- POSEIDON

Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreak 100/101
- EXCLAIM
- ALTA-1L

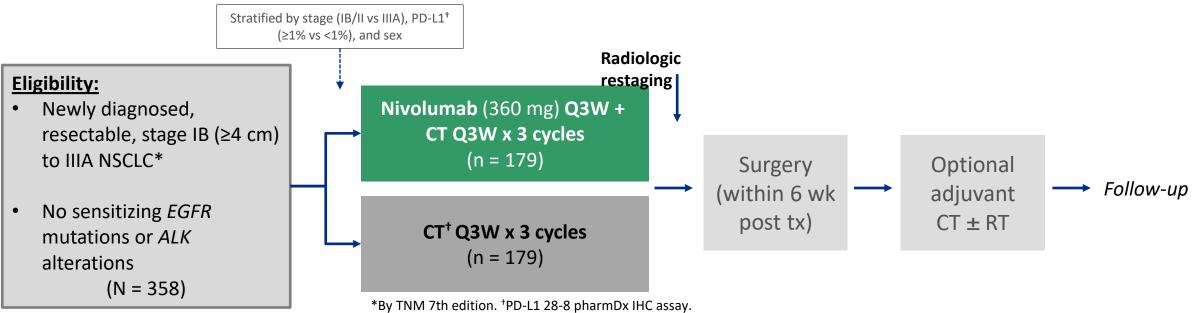


# Does nivolumab in combination with platinumdoublet chemotherapy benefit patients with early stage NSCLC ?

On **March 4 2022**, the Food and Drug Administration approved nivolumab (Opdivo, Bristol-Myers Squibb Company) with platinum-doublet chemotherapy for adult patients with resectable non-small cell lung cancer (NSCLC) in the <u>neoadjuvant</u> setting. This represents the first FDA approval for neoadjuvant therapy for early-stage NSCLC.



**Study Design**: Randomized, open-label phase III trial neoadjuvant nivolumab + platinum chemotherapy for resectable Stage IB-IIIA NSCLC



Arm evaluating nivolumab (3 mg/kg for 3 cycles) + ipilimumab (1 mg/kg for 1 cycle) not shown.

Primary endpoints: pCR (by BIPR), EFS (by BICR)Key secondary endpoints: OS, MPR (by BIPR), time to death or distant metastasisKey exploratory endpoints: ORR (by BICR), surgery feasibility, peri/postoperative surgery-related AEs

<sup>+</sup>Vinorelbine + cisplatin, docetaxel + cisplatin, gemcitabine + cisplatin, pemetrexed + cisplatin, or paclitaxel + carboplatin

Data cutoff: October 20, 2021; median follow up: 29.5 months

#### **Primary Endpoints**

Neoadjuvant nivo plus platinum-doublet chemotherapy results in significant improvements compared with chemotherapy alone

- Statistically significant improvement in EFS over chemotherapy alone with a **37**% reduction in the risk of progression, recurrence or death (HR 0.63; 95% CI: 0.45 to 0.87; P=0.0052)
- pCR rate **24**% vs 2.2%
- Depth of pathological response (low % residual viable tumor [RVT]) was associated with improved EFS outcomes with neoadjuvant NIVO + chemo

#### Key Secondary Endpoint

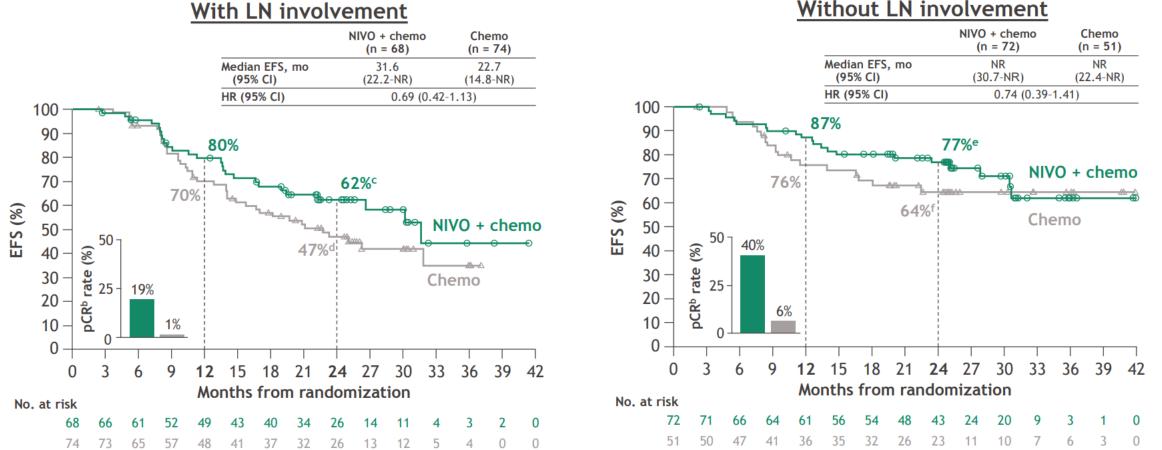
<sup>b</sup>ASCO 2022 LBA8511; AACR 2022 CT012,; NEJM 386(21):1973

• Overall survival (OS): **HR=0.57** (95% CI: 0.38–0.87); OS data were immature at the pre-specified interim analysis, and did not cross the boundary for statistical significance

**94%** 100 90% 79% 80 0-5% RVT 74% > 5-30% RVT EFS (%) 60 72% mEFS, mo (95% CI) RVT > 30-80% RVT 40 0-5% NR (31.6-NR) 399 > 5-30% NR (13.6-NR) 20 > 30-80% 26.6 (11.6-NR) > 80% RVT > 80% 18.9 (13.4-27.8) 24 27 30 33 36 Months from randomization

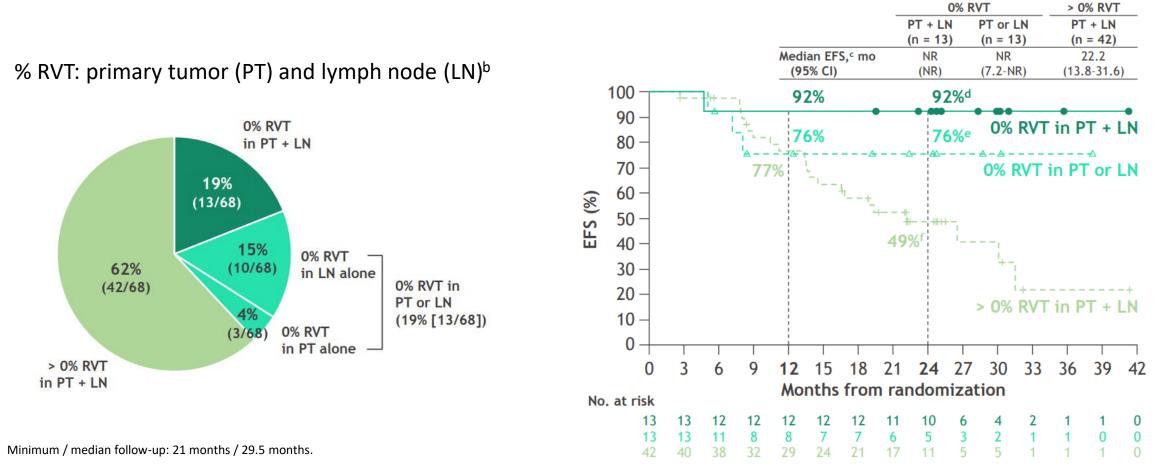
EFS with NIVO + chemo by % RVT category<sup>b</sup>

#### EFS in patients with or without pathologic evidence of LN involvement



Without LN involvement

#### EFS by %RVT in patients with LN involvement<sup>a</sup>: Nivo + CT



<sup>a</sup>LN involvement refers to pathologic evidence of LN disease at resection that had or had not fully regressed after neoadjuvant treatment (0% or > 0% RVT in the resected LN). <sup>b</sup>Patients in the chemo arm with 0% RVT in both PT + LN, 1% (1/74); PT alone, 1% (1/74); LN alone, 4% (3/74); either PT or LN, 5% (4/74); > 0% RVT in PT + LN, 93% (69/74). <sup>c</sup>HRs were not computed because of the low number of events in the 0% RVT subgroups. 95% CI: d57–99, e42–91,f32–64



- Post hoc analysis revealed that patients with resectable NSCLC had improved EFS and pCR with neoadjuvant nivo + CT compared to CT alone regardless of pathologic evidence of LN involvement
- Greatest EFS achieved in patients treated with neoadjuvant nivolumab + CT with 0% RVT in both primary tumor and LN (vs those with 0% RVT in either LN or primary tumor, or those with >0% RVT)
- The % regression (area of immune-mediated tumor clearance) and % RVT for nivo + CT were inversely correlated and was predictive of EFS at 2 yr regardless of LN involvement
- Overall survival (OS): HR=0.57 (95% CI: 0.38–0.87); OS data were immature at the pre-specified interim analysis, and did not cross the boundary for statistical significance
  - 24 mo OS rate, 83% with nivo + chemo vs 71% with chemo alone

# Neoadjuvant nivolumab in combination with platinumdoublet chemotherapy benefits patients with early stage NSCLC regardless of LN involvement and should be considered as a standard of care

Improves the chance of successful, less extensive surgical treatment and reduces the risk of recurrence



# **Key Studies**

#### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung3
- CheckMate -9LA
- CheckMate-227
- POSEIDON

Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreak 100/101
- EXCLAIM
- ALTA-1L

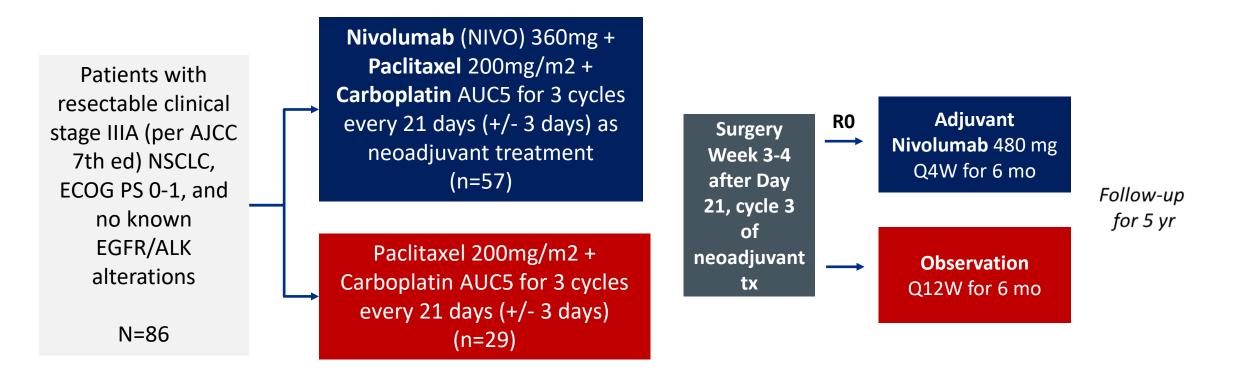


# Does neoadjuvant nivolumab plus chemotherapy provide benefit for patients with resectable stage IIIA-B NSCLC ?

Interim analysis: PFS and OS results



#### Study Design: open-label, randomized, two-arm, phase II, multi-center clinical trial



#### Primary endpoint: pCR in ITT population

Secondary endpoints: PFS, OS, MPR, delayed/cancelled surgery, safety, and biomarker analysis

Median follow-up time: 21.9 months Data cutoff: March 2021

WCLC2022 Absrt PL03.12 (Plenary 3: Presidential Symposium)

#### **Baseline Characteristics**

| Characteristic, n (%)  | Nivo + CT<br>(n = 57) | CT<br>(n = 29) | Characteristic, n (%)      | Nivo + CT<br>(n = 57) | CT<br>(n = 29) |
|------------------------|-----------------------|----------------|----------------------------|-----------------------|----------------|
| Median age, yr (range) | 63 (58-70)            | 62 (57-66)     | TNM classification         |                       |                |
| Female                 | 21 (36.8)             | 13 (44.8)      | (AJCC 8th ed)              |                       |                |
| History of tobacco use |                       |                | • T1N2M0                   | 12 (21.1)             | 4 (13.8)       |
| Never                  | 5 (8.7)               | 0              | <ul> <li>T2N2M0</li> </ul> | 16 (28.1)             | 7 (24.1)       |
| • Former               | 23 (40.4)             | 10 (34.5)      | • T3N1M0                   | 2 (3.5)               | 1 (3.5)        |
| Current                | 29 (50.9)             | 19 (65.5)      | • T3N2M0                   | 13 (22.8)             | 5 (19.3)       |
| ECOG PS                | , , ,                 |                | • T4N0M0                   | 6 (10.5)              | 9 (31.0)       |
| • 0                    | 31 (54.4)             | 16 (55.2)      | • T4N1M0                   | 8 (14.0)              | 3 (10.3)       |
| • 1                    | 26 (45.6)             | 13 (44.8)      | Median tumor size, mm      | 43                    | 52             |
| Histology              |                       |                | (range)                    | (29-54)               | (39-75)        |
| Adenocarcinoma         | 25 (43.9)             | 11 (37.9)      | Nodal stage                |                       |                |
| Adenosquamous          | 1 (1.8)               | 0              | • N0                       | 6 (10.5)              | 9 (31.0)       |
| Squamous               | 21 (36.8)             | 14 (48.3)      | • N1                       | 10 (17.5)             | 4 (13.8)       |
| Large cell carcinoma   | 2 (3.5)               | 1 (3.5)        | • N2                       | 41 (71.9)             | 16 (55.2)      |
| NOS/undifferentiated   | 7 (12.3)              | 2 (6.9)        | N2 multiple station        | 21 (36.8)             | 10 (34.5)      |
| • Other                | 1 (1.8)               | 1 (3.5)        |                            |                       |                |

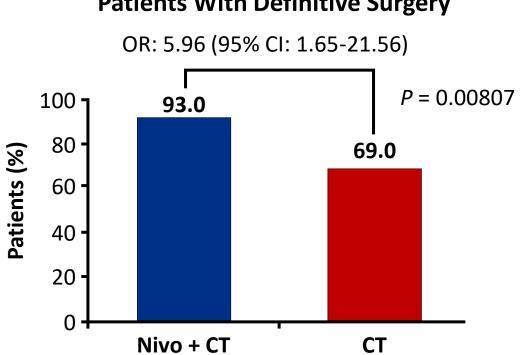
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WCLC2022 Absrt PL03.12 (Plenary 3: Presidential Symposium)

#### **Surgery Summary**

| Type of Surgery, n (%) <sup>1</sup>      | Nivo + CT<br>(n = 53) | CT<br>(n = 20) | Total<br>(n = 73) |
|--|-----------------------|----------------|-------------------|
| Pneumonectomy                            | 6 (11.3)              | 2 (10.0)       | 8 (11.0)          |
| Lobectomy                                | 40 (75.5)             | 17 (85.0)      | 57 (78.1)         |
| Bilobectomy                              | 4 (7.5)               | 1 (5.0)        | 5 (6.8)           |
| Segmentectomy                            | 2 (3.8)               | 0 (0.0)        | 2 (2.7)           |
| Right lower lobectomy +<br>segmentectomy | 1 (1.9)               | 0 (0.0)        | 1 (1.4)           |

| Rese   | ection Degree, n (%) <sup>1</sup> | Nivo + CT<br>(n = 57) | CT<br>(n = 29) |
|--|-----------------------------------|-----------------------|----------------|
| RO   |                                   | 49 (92.5)             | 13 (65.0)      |
| Odds ratio: 6.60 (95% CI: 1.67-26.02); P = 0.007 |                                   |                       |                |



#### **Patients With Definitive Surgery**

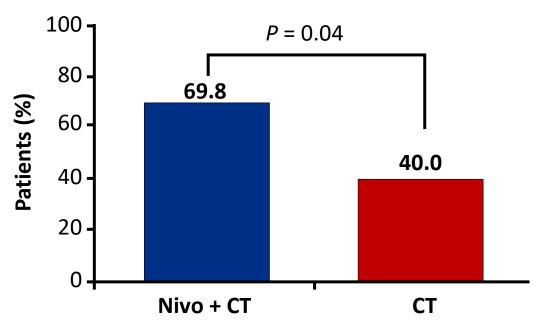
WCLC2022 Absrt PL03.12 (Plenary 3: Presidential Symposium)

#### Downstaging (Secondary Endpoint)

| Downstaging, n (%) | Nivo + CT<br>(n = 53) | CT<br>(n = 20) | Total<br>(n = 73) |
|--------------------|-----------------------|----------------|-------------------|
| Yes                | 37 (69.8)             | 8 (40.0)       | 45 (61.6)         |
| No                 | 16 (30.2)             | 12 (60.0)      | 28 (38.4)         |

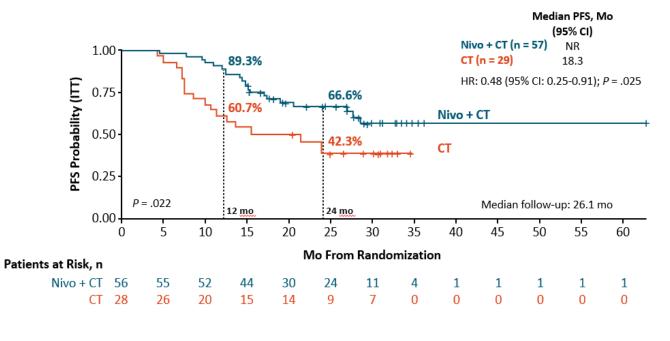


OR: 3.47 (95% CI: 1.19-10.1)

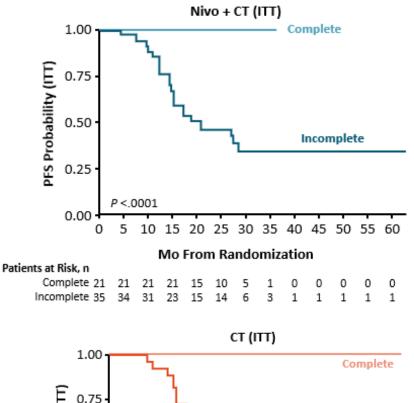


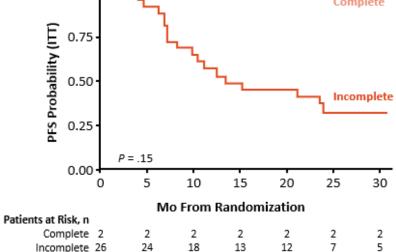
#### Secondary Endpoints: PFS

- PFS at 24 months was **66.6%** for patients treated with nivolumab plus chemotherapy versus **42.3%** for patients treated with chemotherapy
- HR 0.48
- Median PFS not reached in nivo + CT arm



PFS by pCR Status

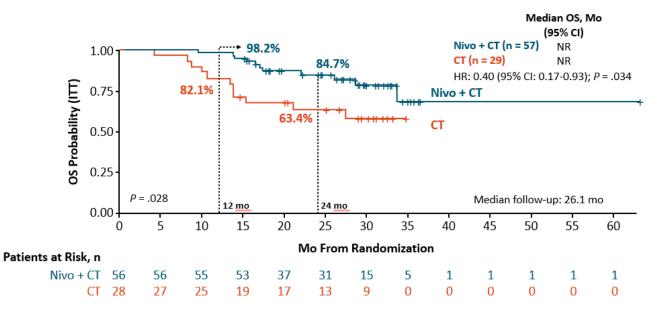


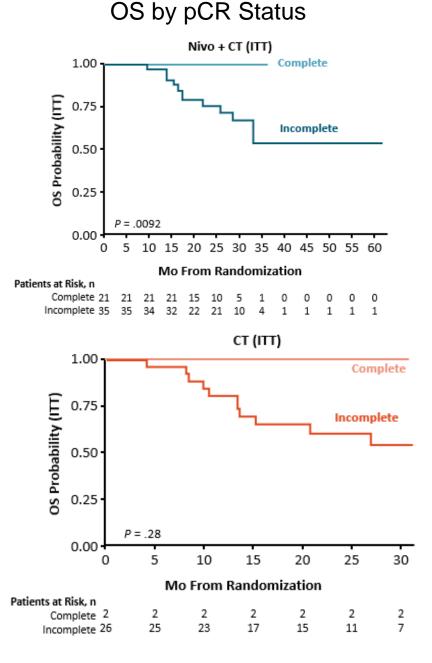


WCLC2022 Absrt PL03.12 (Plenary 3: Presidential Symposium)

#### Secondary Endpoints: OS

- OS at 24 months was 84.7% for patients treated with nivolumab plus chemotherapy versus 63.4% for patients treated with chemotherapy
- HR 0.40
- Median OS not reached in either arm





- The first trial to show improved OS with a neoadjuvant immunotherapy-based combination for patients with resectable stage IIIA–B NSCLC
- PFS and OS improved and sustained with nivo + CT compared to chemo alone
  - PFS rate: 12 mo, 89.3% vs 60.7%; 24 mo, 66.6% vs 42.3%
  - OS rate: 12 mo, 98.2% vs 82.1%; 24 mo, 84.7% vs 63.4%

### NADIM II supports the results of CheckMate-816

WCLC2022 Absrt PL03.12 (Plenary 3: Presidential Symposium)

# Neoadjuvant nivolumab in combination with chemotherapy benefits patients with early stage NSCLC and should be considered as a standard of care

Improves the chance of successful surgical treatment and reduces the risk of recurrence



# **Key Studies**

#### (Neo)Adjuvant NSCLC

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Targeted Therapy in NSCLC

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- CodeBreak 100/101
- EXCLAIM
- ALTA-1L



#### **KEYNOTE-091**

# Does pembrolizumab provide benefit for patients with early stage NSCLC (stage IB to IIIA) after resection and adjuvant chemotherapy?

**On January 26, 2023**, the Food and Drug Administration (FDA) approved pembrolizumab (Keytruda, Merck) for <u>adjuvant</u> treatment following resection and platinum-based chemotherapy for stage IB (T2a ≥4 cm), II, or IIIA non-small cell lung cancer (NSCLC)

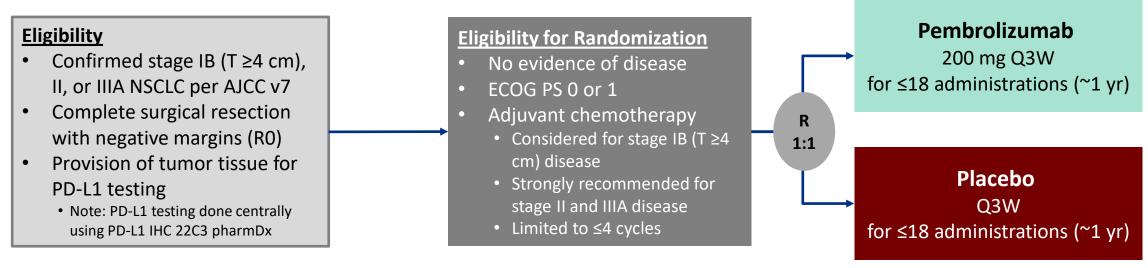


# **KEYNOTE-091**

#### Study Design: Randomized, triple-blind Phase 3 study

#### **Stratification Factors**

Disease stage (IB vs II vs IIIA); PD-L1 TPS (<1% vs 1-49% vs ≥50%); Receipt of adjuvant chemotherapy (yes vs no); Geographic region (Asia vs Eastern Europe vs Western Europe vs rest of world)



**Primary endpoints**: DFS in the overall population and DFS in the PD-L1 TPS ≥50% population

**Secondary endpoints**: DFS in the PD-L1 TPS ≥1% population, OS in the overall, PD-L1 TPS ≥50%, and PD-L1 TPS ≥1% populations, Lung cancer-specific survival in the overall population, and safety

#### Data cutoff date: September 20, 2021

ESMO Virtual Plenary 2022: Abstr VP3-2022 ASCO 2022 Abstr 8512 KEYNOTE-091 also known as EORTC-1416-LCG/ETOP-8-15 – PEARLS

#### **Overall Population, Baseline Characteristics**

| (%)                            | Pembrolizumab<br>(n=590) | Placebo<br>(n=587) |
|--------------------------------|--------------------------|--------------------|
| Age (Y) , Median (range)       | 65 (31-87)               | 65 (37-85)         |
| Male                           | 401 (68.0%)              | 403 (68.7%)        |
| Geographic Region              |                          |                    |
| • Asia                         | 106 (18.0%)              | 105 (17.9%)        |
| Eastern Europe                 | 116 (19.7%)              | 113 (19.3%)        |
| Western Europe                 | 303 (51.4%)              | 301 (51.3%)        |
| Rest of World                  | 65 (11.0%)               | 68 (11.6%)         |
| ECOG PS1                       | 210 (35.6%)              | 244 (41.6%)        |
| Current/former smoker          | 503 (85.3%)              | 521 (88.8%)        |
| EGFR Mutation <sup>a</sup>     | 39 (6.6%)                | 34 (5.8%)          |
| ALK translocation <sup>b</sup> | 7 (1.2%)                 | 7 (1.2%)           |

|                               | Pembrolizumab<br>(n=590) | Placebo<br>(n=587) |
|-------------------------------|--------------------------|--------------------|
| Nonsquamous histology         | 398 (67.5%)              | 363 (61.8%)        |
| Pathologic stage <sup>c</sup> |                          |                    |
| • IB                          | 84 (14.2%)               | 85 (14.5%)         |
| •                             | 329 (55.8%)              | 338 (57.6%)        |
| • IIIA                        | 177 (30.0%)              | 162 (27.6%)        |
| Received adjuvant chemo       |                          |                    |
| • Yes                         | 506 (85.8%)              | 504 (85.9%)        |
| • No                          | 84 (14.2%)               | 83 (14.1%)         |
| PD-L1 TPS                     |                          |                    |
| • <1%                         | 233 (39.5%)              | 232 (39.5%)        |
| • 1-49%                       | 189 (32.0%)              | 190 (32.4%)        |
| • ≥50%                        | 168 (28.5%)              | 165 (28.1%)        |

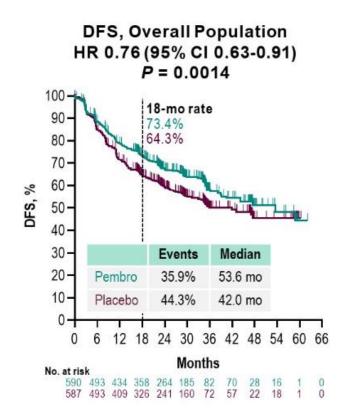
<sup>a</sup> EGFR status unknown for 333 (56.4%) in pembro arm and 337 (57.4%) in placebo arm.

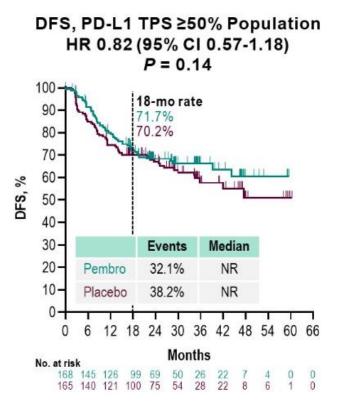
<sup>b</sup> ALK status unknown for 357 (60.5%) in pembro arm and 390 (66.4%) in placebo arm.

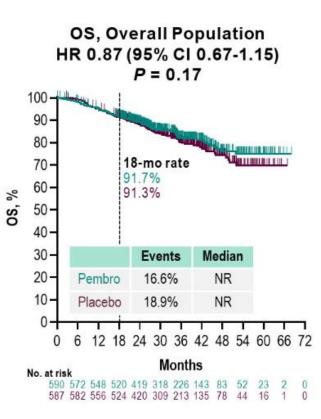
 $^{\rm c}{\rm 2}$  (0.3%) participants in the placebo group had stage IV disease.

ESMO Virtual Plenary 2022: Abstr VP3-2022 ASCO 2022 Abstr 8512

#### Primary Results from the Protocol-Specified Second Interim Analysis (IA2)







ESMO Virtual Plenary 2022: Abstr VP3-2022 ASCO 2022 Abstr 8512

#### **Overall Population**, DFS in Key Subgroups

| Subgroup                | No. Events/<br>No. Participants | Haz                    | zard Ratio (95%   | 6 CI)            |
|-------------------------|---------------------------------|------------------------|-------------------|------------------|
| Overall                 | 472/1177                        | -                      |                   | 0.76 (0.63-0.91) |
| Age                     |                                 |                        |                   |                  |
| <65 years               | 213/558                         |                        |                   | 0.73 (0.56-0.96) |
| ≥65 years               | 259/619                         | -                      |                   | 0.84 (0.66-1.07) |
| Sex                     |                                 |                        |                   |                  |
| Female                  | 158/373                         | -                      |                   | 0.73 (0.54-1.00) |
| Male                    | 314/804                         | -                      |                   | 0.81 (0.65-1.01) |
| Geographic region       |                                 |                        |                   |                  |
| Asia                    | 96/211                          |                        |                   | 0.74 (0.49-1.10) |
| Eastern Europe          | 90/229                          |                        |                   | 0.84 (0.56-1.27) |
| Western Europe          | 245/604                         |                        |                   | 0.77 (0.60-1.00) |
| Rest of world           | 41/133                          |                        |                   | 0.74 (0.40-1.39) |
| ECOG performance status |                                 |                        |                   |                  |
| 0                       | 288/723                         |                        |                   | 0.78 (0.62-0.99) |
| 1                       | 184/454                         |                        |                   | 0.79 (0.59-1.06) |
| Smoking status          |                                 |                        |                   |                  |
| Current                 | 53/165                          | <b></b>                |                   | 0.42 (0.23-0.77) |
| Former                  | 340/859                         | -                      |                   | 0.84 (0.68-1.04) |
| Never                   | 79/153                          | -+                     |                   | 0.72 (0.47-1.13) |
|                         | 0.2                             | 0.5 1                  | 2                 | 5                |
|                         | Pe                              | embrolizumab<br>Better | Placebo<br>Better |                  |

|   | Subgroup              | No. Events/<br>No. Participants | Hazard     | Ratio (95% CI)     |
|---|-----------------------|---------------------------------|------------|--------------------|
|   | Overall               | 472/1177                        | -          | 0.76 (0.63-0.91)   |
|   | Pathologic stage      |                                 |            |                    |
|   | IB                    | 46/169                          |            | 0.76 (0.43-1.37)   |
|   | II                    | 246/667                         | <b>_</b>   | 0.70 (0.55-0.91)   |
|   | IIIA                  | 178/339                         | _ <b>_</b> | 0.92 (0.69-1.24)   |
| 2 | Received adjuvant che | emotherapy                      |            | Ĭ                  |
|   | No                    | 64/167                          |            | - 1.25 (0.76-2.05) |
|   | Yes                   | 408/1010                        |            | 0.73 (0.60-0.89)   |
|   | Histology             |                                 |            |                    |
|   | Nonsquamous           | 330/761                         | <b>_</b>   | 0.67 (0.54-0.83)   |
|   | Squamous              | 142/416                         |            | 1.04 (0.75-1.45)   |
|   | PD-L1 TPS             |                                 |            |                    |
|   | <1%                   | 195/465                         | -          | 0.78 (0.58-1.03)   |
|   | 1-49%                 | 160/379                         | <b></b>    | 0.67 (0.48-0.92)   |
|   | ≥50%                  | 117/333                         | <b></b>    | 0.82 (0.57-1.18)   |
|   | EGFR mutation         |                                 |            |                    |
|   | No                    | 186/434                         | -+         | 0.78 (0.59-1.05)   |
|   | Yes                   | 40/73                           | - <b>-</b> | 0.44 (0.23-0.84)   |
|   | Unknown               | 246/670                         | •          | 0.82 (0.63-1.05)   |
|   |                       | 0.2                             | 0.5 1      | 2 5                |
|   |                       | Pem                             |            | acebo<br>Better    |

Response assessed per RECIST v1.1 by investigator review.

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# Impact of the Type of Surgical Resection, Baseline Disease Burden, and Use of Adjuvant Chemotherapy on DFS at IA2

|                        | Pembro<br>(N = 590) | Placebo<br>(N = 587) |
|------------------------|---------------------|----------------------|
| Type of surgery, n (%) |                     |                      |
| Bilobectomy            | 47 (8.0)            | 45 (7.7)             |
| Lobectomy              | 461 (78.1)          | 464 (79.0)           |
| Pneumonectomy          | 65 (11.0)           | 62 (10.6)            |
| Other                  | 17 (2.9)            | 16 (2.7)             |
| pN status, n (%)       |                     |                      |
| 0                      | 233 (39.5)          | 257 (43.8)           |
| 1                      | 233 (39.5)          | 223 (38.0)           |
| 2                      | 124 (21.0)          | 107 (18.2)           |
| Tumor size, n (%)      |                     |                      |
| ≤4 cm                  | 252 (42.7)          | 239 (40.7)           |
| >4 cm                  | 337 (57.1)          | 348 (59.3)           |
| Missing                | 1 (0.2)             | 0                    |

|                                 | Pembro<br>(N = 590) | Placebo<br>(N = 587) |  |  |  |
|---------------------------------|---------------------|----------------------|--|--|--|
| Received adjuvant chemotherapy  |                     |                      |  |  |  |
| No, n (%)                       | 84 (14.2)           | 83 (14.1)            |  |  |  |
| Reason for not rece             | iving, n            |                      |  |  |  |
| Participant<br>refused          | 36                  | 30                   |  |  |  |
| Physician decision <sup>a</sup> | 46                  | 47                   |  |  |  |
| Unknown                         | 2                   | 6                    |  |  |  |
| Disease stage in the            | ose who did no      | t receive, n         |  |  |  |
| IB                              | 24                  | 30                   |  |  |  |
| Ш                               | 48                  | 43                   |  |  |  |
| IIIA                            | 12                  | 10                   |  |  |  |
| Yes, n (%)                      | 506 (85.8)          | 504 (85.9)           |  |  |  |
| 1-2 cycles                      | 35 (5.9)            | 32 (5.5)             |  |  |  |
| 3-4 cycles                      | 471 (79.8)          | 472 (80.4)           |  |  |  |

|                                     | Pembro<br>(N = 590) | Placebo<br>(N = 587) |
|-------------------------------------|---------------------|----------------------|
| Type of adjuvant plati              | num, n (%)          |                      |
| Carboplatin-based only              | 184 (31.2)          | 171 (29.1)           |
| Cisplatin-based only                | 301 (51.0)          | 307 (52.3)           |
| Carboplatin- and<br>cisplatin-based | 21 (3.6)            | 26 (4.4)             |
| Adjuvant regimen, n (               | %)                  |                      |
| Carboplatin +<br>paclitaxel         | 60 (10.2)           | 75 (12.8)            |
| Carboplatin +<br>vinorelbine        | 81 (13.7)           | 70 (11.9)            |
| Cisplatin +<br>gemcitabine          | 27 (4.6)            | 30 (5.1)             |
| Cisplatin +<br>vinorelbine          | 241 (40.8)          | 250 (42.6)           |
| Other                               | 97 (16.4)           | 79 (13.5)            |
|                                     |                     |                      |

<sup>a</sup> Based on unfavorable benefit/risk profile for the individual participant

# DFS in Subgroups: based on surgical resection, disease burden, and use of adjuvant chemotherapy

| ubgroup         | No. Events/<br>No. Participants |                                     | Hazard Ratio      | o (95% CI)       |
|-----------------|---------------------------------|-------------------------------------|-------------------|------------------|
| Overall         | 472/1177                        | -                                   |                   | 0.76 (0.63-0.91) |
| Type of surgery |                                 |                                     |                   |                  |
| Bilobectomy     | 33/92                           | -                                   |                   | 0.85 (0.43-1.69) |
| Lobectomy       | 374/925                         |                                     |                   | 0.78 (0.64-0.96) |
| Pneumonectomy   | 50/127                          |                                     | 6                 | 0.71 (0.40-1.24) |
| 0               | 161/490<br>179/456              |                                     |                   | 0.63 (0.46-0.86) |
| 1               | 179/456                         |                                     | _                 | 0.77 (0.57-1.03) |
| Tumor size      |                                 |                                     |                   | ÷ ÷              |
| ≤4 cm           | 200/491                         |                                     |                   | 0.91 (0.69-1.20) |
| >4 cm           | 271/685                         |                                     |                   | 0.70 (0.55-0.89) |
|                 | 0.2                             | 0.5 1.0                             | 2.0               | 5.0              |
|                 |                                 | <sup>p</sup> embrolizumab<br>Better | Placebo<br>Better |                  |

| Subgroup<br>N            | No. Events/<br>o. Participants | н       | azard Ratio (95% CI) |
|--------------------------|--------------------------------|---------|----------------------|
| Overall                  | 472/1177                       |         | 0.76 (0.63-0.91)     |
| Received adjuvant chem   | otherapy                       |         |                      |
| No                       | 64/167                         |         | - 1.25 (0.76-2.05)   |
| Yes                      | 408/1010                       |         | 0.73 (0.60-0.89)     |
| No. cycles of adjuvant c | hemotherapy                    |         |                      |
| 1-2                      | 28/67 —                        | •       | 0.59 (0.28-1.26)     |
| 3-4                      | 380/943                        |         | 0.74 (0.61-0.91)     |
| Adjuvant platinum        |                                |         |                      |
| Carboplatin only         | 157/355                        |         | 0.77 (0.57-1.06)     |
| Cisplatin only           | 236/608                        |         | 0.73 (0.57-0.95)     |
| Adjuvant chemotherapy    | regimen                        |         |                      |
| Carboplatin + paclitaxel | 63/135                         |         | 1.21 (0.73-1.98)     |
| Carboplatin + vinorelbin | e 68/151 -                     | !       | 0.51 (0.31-0.83)     |
| Cisplatin + gemcitabine  | 27/57 -                        | •       | 0.65 (0.30-1.40)     |
| Cisplatin + vinorelbine  | 191/491                        |         | 0.74 (0.55-0.98)     |
| Other                    | 59/176                         |         | 0.68 (0.41-1.14)     |
|                          | 0.2                            | 0.5 1.0 | 2.0 5.0              |
|                          | P                              |         | Placebo<br>Better    |

ESMO Virtual Plenary 2022: Abstr VP3-2022 ASCO 2022 Abstr 8512





#### **Adverse Events**

|                           | Pembrolizumab (n=580) | Placebo<br>(n=581) |
|---------------------------|-----------------------|--------------------|
| Any                       | 556 (95.9%)           | 529 (91.0%)        |
| Grade 3 – 5               | 198 (34.1%)           | 150 (25.8%)        |
| Led to death              | 11 (1.9%)             | 6 (1.0%)           |
| Treatment related         | 4 (0.7%)*             | 0 (0%)             |
| Serious                   | 142 (24.5%)           | 90 (15.5%)         |
| Treatment discontinuation | 115 (19.8%)           | 34 (5.9%)          |
| Treatment Interruption    | 221 (38.1%)           | 145 (25.0%)        |

\* 1 participant each with myocarditis + cardiogenic shock, myocarditis + septic shock, pneumonia, and sudden death.



- Adjuvant treatment with pembrolizumab significantly improved DFS in the overall population of patients with early-stage NSCLC following surgical resection
- Pembrolizumab improved DFS regardless of type of surgery, lymph node involvement, tumor size, and type and extent of adjuvant chemotherapy in patients with completely resected stage IB (T ≥4 cm) to IIIA NSCLC.
  - 27% reduction in the risk of disease recurrence or death after adjuvant chemotherapy with pembrolizumab compared to placebo
  - For patients who received adjuvant chemotherapy, median DFS was 58.7 months in the pembrolizumab arm (95% CI: 39.2, not reached) and 34.9 months in the placebo arm (95% CI: 28.6, not reached) (hazard ratio=0.73; 95% CI: 0.60, 0.89])
- OS data immature at time of interim analysis
- The safety profile of pembrolizumab was consistent with prior experience across indications and lines of therapy

## Pembrolizumab demonstrated benefit in the adjuvant setting following resection and platinum-based chemotherapy for patients with early-stage NSCLC regardless of PD-L1 status and should be considered a standard of care



#### QUESTION

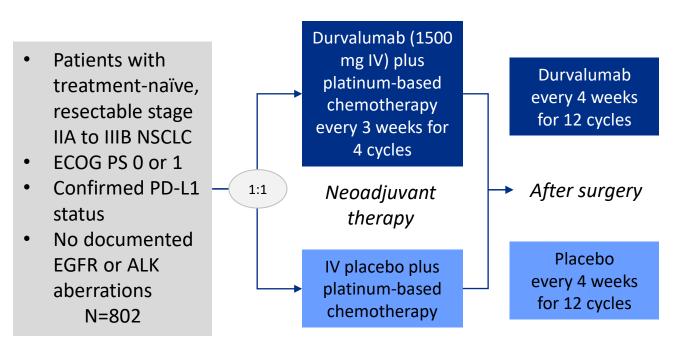
Is neoadjuvant chemoimmunotherapy superior to adjuvant immunotherapy for all stages of early-stage non-small cell lung cancer?

|  | <u>CheckMate-816</u><br>(Nivolumab)  | <u>NADIM II</u><br>(Nivolumab)   |   | <u>PEARLS/KEYNOTE-091</u><br>(Pembrolizumab)  | <u>IMpower010</u><br>(Atezolizumab)   |
|--|--|--|---|---|---|
| Indication/FDA<br>approval   | Nivolumab with platinum-doublet chemotherap<br><u>neoadjuva</u>  | y for adult patients with resectable NSCLC in the<br>ant setting   | Indication/FDA<br>approval  | For <u>adjuvant</u> treatment following resection and<br>platinum-based chemotherapy in patients with <b>stage</b><br>IB (T2a ≥4 cm), II, or IIIA NSCLC | For <u>adjuvant</u> treatment following resection<br>and platinum-based chemotherapy in<br>patients with <b>Stage II to IIIA</b> NSCLC whose<br>tumors have <b>PD-L1 expression on</b> ≥ <b>1%</b> of<br>tumor cells, as determined by an FDA-<br>approved test |
| Treatment Arms   | <b>Nivolumab + chemo</b> vs chemo (R 1:1)<br>No known EGFR/ALK alterations<br>Optional adjuvant CT ± RT post surgery   | Nivolumab + chemo vs chemo (R 2:1)<br>No known EGFR/ALK alterations<br>If RO resection: Adjuvant Nivo post surgery)              | Treatment Arms  | <b>Pembrolizumab</b> vs placebo (R 1:1)   | Atezolizumab vs best supportive care<br>(R 1:1)   |
| N  | 358  | 86   | Ν   | 1,177   | 1,280   |
| Median <u>EFS</u> ,<br>overall<br>population<br>HR (95% CI)                  | 31.6 vs 20.8 months<br>HR 0.63<br>(97.38% Cl: 0.43 - 0.91; p=0.0052)   |  | Median <u>DFS,</u><br>overall<br>population<br>HR (95% CI)              | 53.6 vs 42.0 months<br>HR 0.76<br>(95% CI: 0.63 – 0.91; P=0.0014)   | Not reached vs 35.3 months<br>HR 0.66<br>(95% Cl: 0.50 – 0.88; P=0.004)   |
| Median <u>EFS</u> by<br>PD-L1 expression<br><1%<br>≥1%<br>1-49%<br>≥50%      | 25.1 vs 18.4; HR 0.85 (0.54-1.32) (n=155)<br>NR vs 21.2; HR 0.41 (0.24-0.70) (n=178)<br>NR vs 26.7; HR 0.58 (0.30-1.12) (n=98)<br>NR vs 19.6; HR 0.24 (0.10-0.61) (n=80) | PDL1 expression (≥1%) significantly identified<br>patients with improved <u>PFS</u><br>HR: 0.26<br>(95%CI: 0.08-0.77; P = 0.015) | Median <u>DFS</u> by<br>PD-L1 expression<br><1%<br>≥1%<br>1-49%<br>≥50% | HR 0.78 (0.58 – 1.03) (n=465)<br><br>HR 0.67 (0.48 – 0.92) (n=337)<br>NR vs NR; HR 0.82 (0.57 – 1.18.; P=0.14) (n=333)                                  | <br>NR vs 35.3; HR 0.66 (n=476)<br>32.8 vs 31.4; HR 0.87 (n=247)<br>NR vs 35.7; HR 0. 43 (0.27 – 0.68) (n=229)  |
| pCR; Odds ratio<br>And by PD-L1<br>expression<br><1%<br>≥1%<br>1-49%<br>≥50% | 24% vs 2.2%; 13.94 (99% CI: 3.49 –55.75;P<0.001)<br>16.7% vs 2.6%<br>32.6% vs 2.2%<br>23.5% vs 0%<br>44.7% vs 4.8%   | 36.8% vs 6.9% ; P = 0.0068<br>   | Median <u>OS</u> by<br>PD-L1 expression<br>≥1%<br>1-49%<br>≥50%         | Overall survival results were not mature with only 42% of pre-specified OS events in the overall population   | HR 0.71 (0.49 – 1.03)<br>HR 0.95 (0.59 – 1.54)<br>HR 0.43 (0.24 – 0.78)   |
| Overall Survival<br>HR (95% CI)  | Not reached vs Not reached<br>HR 0.57 (99.67% Cl, 0.30 – 1.07; P=0.008)  | OS at 24 months: 84.7% vs 63.4%<br>HR 0.40 (0.17 – 0.93; P=0.034)  | Overall Survival<br>HR (95% CI)   | Not reached vs Not reached<br>HR 0.87 (0.67 – 1.15.; P=0.17)  | Not reached vs Not reached<br>HR 0.71 (0.49 – 1.03)   |
| Reference  | N Engl J Med. 2022 May 26;386(21):1973-1985.   | 2022 World Conference on Lung Cancer. Abstract PL03.12   | Reference   | ESMO Virtual Plenary 2022: Abstr VP3-2022; ASCO 2022 Abstr 8512<br>The Lancet 2022, vol 23 (10): 1274-1286  | WCLC2022 Absrt PL03.09 (Plenary 3: Presidential Symposium)<br>The Lancet 2021, vol 398 (10308): 1344-1357   |

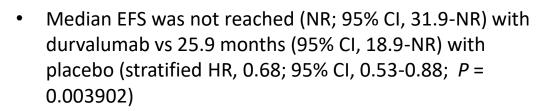
#### NEW AND NOTEWORTHY

# AEGEAN: 2023 AACR Annual Meeting

Positive high-level results from a planned interim analysis of the **AEGEAN** Phase III, placebo- controlled trial showed that treatment with AstraZeneca's Imfinzi (durvalumab) in combination with neoadjuvant chemotherapy before surgery and as adjuvant monotherapy after surgery demonstrated a statistically significant and clinically meaningful improvement in EFS versus neoadjuvant chemotherapy alone followed by surgery for patients with resectable early-stage (IIA-IIIB) NSCLC.



Minimum follow-up was 6.7 months; Median follow-up 11.7 months



- 12- and 24-month EFS rates with durvalumab were 73.4% and 63.3%, respectively, vs 64.5% and 52.4% with placebo
- pCR rate with durvalumab (n = 366) was 17.2% vs 4.3% with placebo (n = 374), reflecting an absolute difference of 12.9% (95% CI, 8.7%-17.6%; P = 0.000036)
- Major pathologic response (MPR) rates with durvalumab and placebo were 33.3% and 12.3%, respectively, reflecting an absolute difference of 21.0% (95% CI, 15.1%-26.9%; P = 0.000002)



Heymach JV, Harpole D, Mitsudomi T, et al. AEGEAN: a phase 3 trial of neoadjuvant durvalumab + chemotherapy followed by adjuvant durvalumab in patients with resectable NSCLC. Presented at: 2023 AACR Annual Meeting; April 14-19, 2023; Orlando, FL. Abstract CT005.

# **Key Studies**

#### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung 1
- CheckMate-9LA
- CheckMate-227
- POSEIDON

Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreak 100/101
- EXCLAIM
- ALTA-1L



# Does cemiplimab provide benefit for patients with advanced NSCLC with PD-L1 ≥50%?

3-year survival data

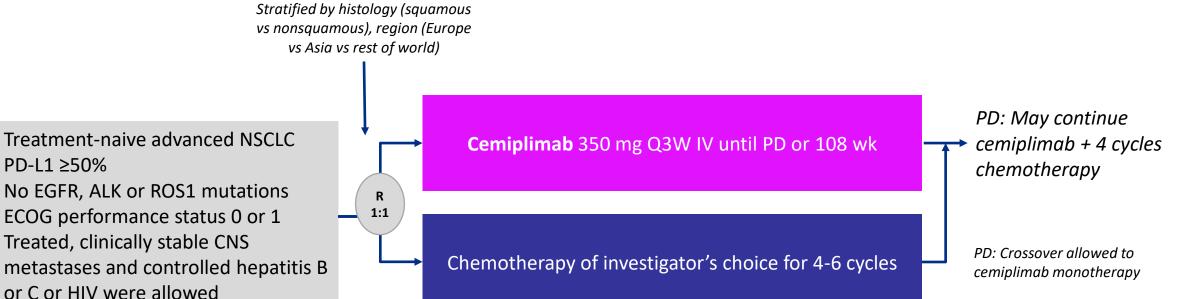


#### **KEY DATA**

•

## **EMPOWER-Lung 1** Clinical Trial

#### Study Design: Phase 3 study



ITT (N=712<sup>+</sup>)

Median time from randomization to data cutoff: 37.1 months (range: 24.0–56.5) (+Two additional patients added after data cutoff for the Sezer et al. Lancet 2021 manuscript.)

#### PD-L1 $\geq$ 50% population (N=565<sup>+</sup>)

PD-L1 testing by 22C3 assay performed per instructions for use

Data cutoff: 4 March 2022

or C or HIV were allowed

\*radiological stability not required

#### Primary endpoints: OS, PFS Secondary endpoints: ORR, DoR, HRQoL, safety

ESMO 2022 LBA54. Nature Medicine | VOL 28 | November 2022 | 2374–2380

#### **Baseline Characteristics**

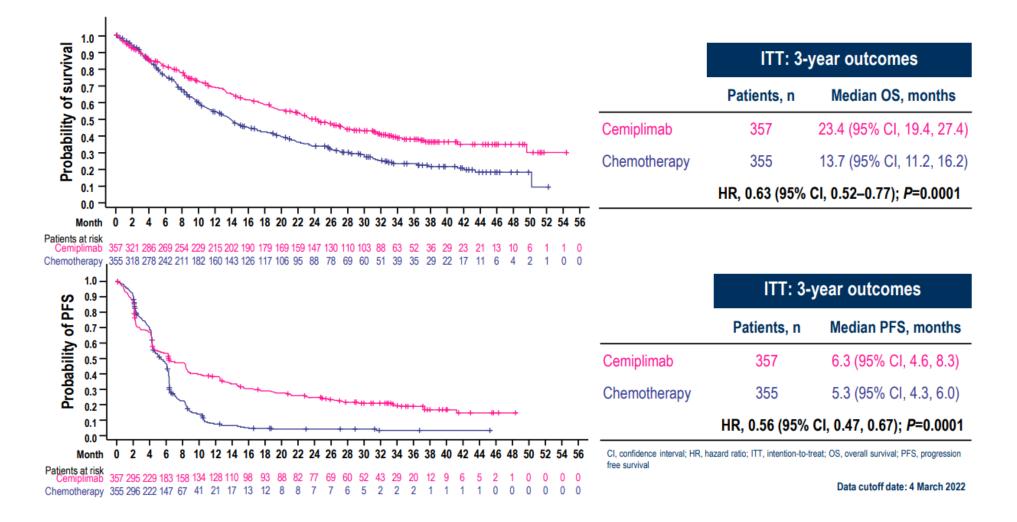
|   | ITT                      |                          | PD-L                     | 1 ≥50%                   |
|---|--------------------------|--------------------------|--------------------------|--------------------------|
| Characteristic, n (%)   | Cemiplimab               | CT                       | Cemiplimab               | CT                       |
|   | (n=357)*                 | (n =355)                 | (n=284)*                 | (n=281)                  |
| Median age, yr (range)  | 63.0 (58.0 : 69.0)       | 64.0 (57.0 : 69.0)       | 63 (58.0 : 69.0)         | 64.0 (58.0 : 70.0)       |
| • ≥65 yr, n (%)   | 157 (44.0)               | 164 (46.2)               | 127 (44.7)               | 133 (47.3)               |
| Male  | 313 (87.7)               | 294 (82.8)               | 249 (87.7)               | 231 (82.2)               |
| ECOG PS 0   | 96 (26.9)                | 97 (27.3)                | 77 (27.1)                | 76 (27.0)                |
| • 1   | 261 (73.1)               | 258 (72.7)               | 207 (72.9)               | 205 (73.0)               |
| <ul> <li>Region of enrollment</li> <li>Europe</li> <li>Asia</li> <li>Rest of the world</li> </ul> | 276 (77.3)               | 279 (78.6)               | 216 (76.1)               | 217 (77.2)               |
|   | 39 (10.9)                | 38 (10.7)                | 31 (10.9)                | 29 (10.3)                |
|   | 42 (11.8)                | 38 (10.7)                | 37 (13.0)                | 35 (12.5)                |
| Histology<br>• Squamous<br>• Nonsquamous  | 160 (44.8)<br>197 (55.2) | 153 (43.1)<br>202 (56.9) | 123 (43.3)<br>161 (56.7) | 122 (43.4)<br>159 (56.6) |
| Stage at screening <ul> <li>III</li> <li>IV</li> </ul>  | 63 (17.6)                | 52 (14.7)                | 45 (15.8)                | 42 (15.0)                |
|   | 294 (82.4)               | 303 (85.4)               | 239 (84.2)               | 239 (85.1)               |

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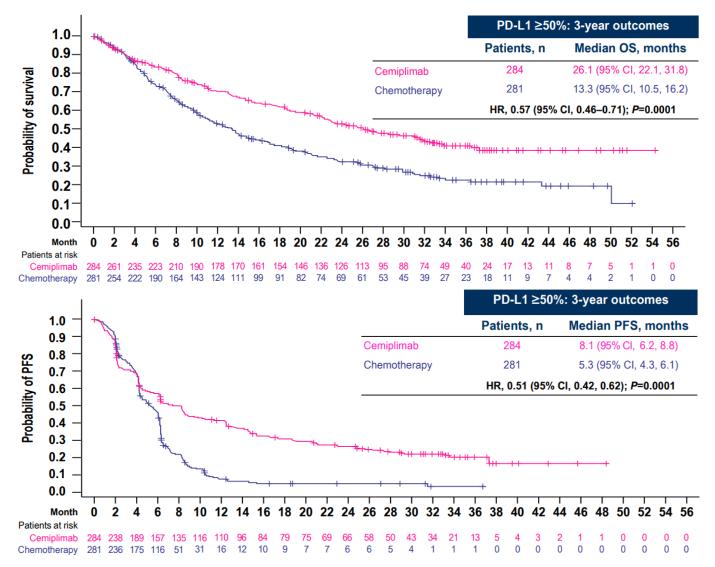
\*Two additional patients added after data cutoff for the Sezer et al. Lancet 2021 manuscript ECOG, Eastern Cooperative Oncology Group; ITT, intention-to-treat; PD-L1, programmed cell death-ligand 1



#### 3 year follow up: ITT OS and PFS



#### 3 year follow up: PD-L1 ≥50% OS and PFS



#### Efficacy

|  | ITT                            |                         | PD-L1 ≥50%                     |                         |  |
|--|--------------------------------|-------------------------|--------------------------------|-------------------------|--|
|  | Cemiplimab<br>(n=357)          | Chemotherapy<br>(n=355) | Cemiplimab<br>(n=284)          | Chemotherapy<br>(n=281) |  |
| Objective Response Rate (ORR: CR+PR)   | 151 (42.3)                     | 76 (21.4)               | 132 (46.5)                     | 59 (21.0)               |  |
| 95 CI for ORR (n%)                     | (37.1, 41.8)                   | (17.3, 26.0)            | (40.6, 52.5)                   | (16.4, 26.2)            |  |
| Odds ratios (range), two-sided p-value | 2.691 (1.936, 3.740) p <0.0001 |                         | 3.264 (2.255, 4.724) p <0.0001 |                         |  |
| Best Overall Tumor Response, n (%)     |                                |                         |                                |                         |  |
| Complete Response (CR)                 | 29 (8.1)                       | 7 (2.0)                 | 23 (8.1)                       | 6 (2.1)                 |  |
| Partial Response (PR)                  | 122 (34.2)                     | 69 (19.4)               | 109 (38.4)                     | 53 (18.9)               |  |
| Stable Disease (SD)                    | 90 (25.2)                      | 175 (49.3)              | 65 (22.9)                      | 142 (50.5)              |  |
| Non-CR/Non-PD                          | 2 (0.6)                        | 4 (1.1)                 | 2 (0.7)                        | 2 (0.7)                 |  |
| Progressive Disease (PD)               | 76 (21.3)                      | 56 (15.8)               | 60 (21.1)                      | 45 (16.0)               |  |
| Not Evaluable (NE)                     | 38 (10.6)                      | 44 (12.4)               | 25 (8.8)                       | 33 (11.7)               |  |
| Median DOR, months (95% CI)            | 23.6 (18.6, 33.0)              | 5.9 (4.3, 6.3)          | 23.6 (16.8, 33.0)              | 5.9 (4.3, 6.5)          |  |

CI, confidence interval; ITT, intention-to-treat; PD-L1, programmed cell death-ligand 1; ORR, objective response rate; DOR, duration of response

#### Safety

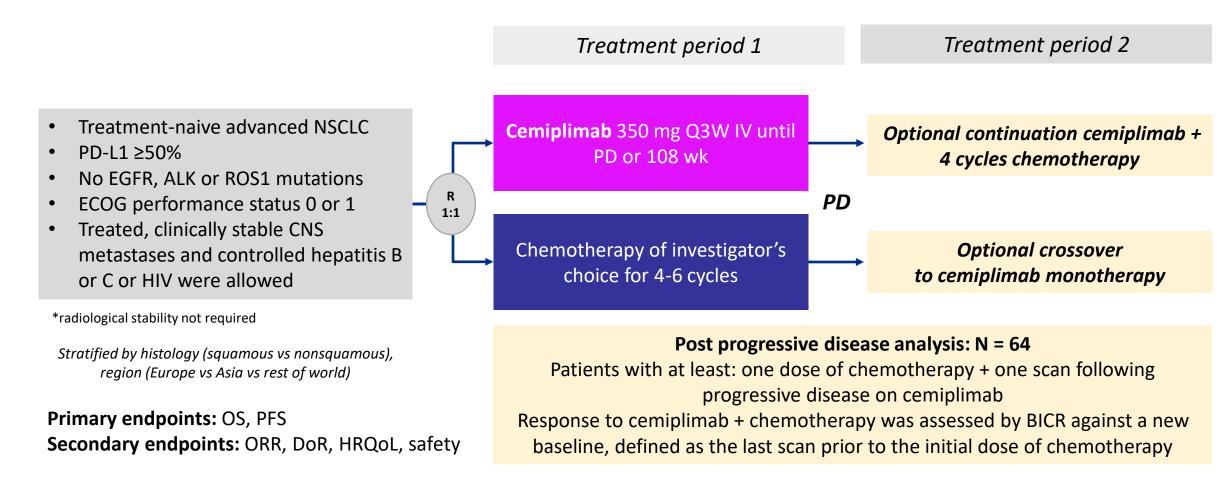
|   | Cemiplimab (n=356) |              | Chemotherapy (n=343) |              |
|---|--------------------|--------------|----------------------|--------------|
| Median duration of exposure (range), weeks                  | 36 (0.3–136.0)     |              | 18 (0.6–141.1)       |              |
| Treatment-emergent AEs,<br>regardless of attribution, n (%) | Any grade          | Grade<br>3–5 | Any grade            | Grade<br>3–5 |
| Overall   | 330 (92.7)         | 163 (45.8)   | 329 (95.9)           | 177 (51.6)   |
| Led to discontinuation                                      | 32 (9.0)           | 20 (5.6)     | 17 (5.0)             | 10 (2.9)     |
| Led to death  | 36 (10.1)          | 36 (10.1)    | 33 (9.6)             | 33 (9.6)     |
| Treatment-related AEs, n (%)                                |                    |              |                      |              |
| Overall   | 223 (62.6)         | 65 (18.3)    | 310 (90.4)           | 137 (39.9)   |
| Led to discontinuation                                      | 26 (7.3)           | 15 (4.2)     | 15 (4.4)             | 10 (2.9)     |
| Led to death  | 10 (2.8)           | 10 (2.8)     | 7 (2.0)              | 7 (2.0)      |
| Sponsor-identified immune-<br>related AEs, n (%)            |                    |              |                      |              |
| Overall   | 80 (22.5)          | 17 (4.8)     | 8 (2.3)              | 1 (0.3)      |
| Led to discontinuation                                      | 16 (4.5)           | 9 (2.5)      | 0                    | 0            |
| Led to death  | 2 (0.6)            | 2 (0.6)      | 0                    | 0            |

\*Although each pair of neutropenia and decreased neutrophil count; and thrombocytopenia and decreased platelet count might reflect the same condition, they were listed as distinct events for the safety report of the study AEs, adverse events; PD-L1, programmed death-ligand 1

| Treatment-emergent AEs in<br>≥10% of pts in either arm, n (%) | Cemiplimab (n=356) |           | Chemotherapy (n=343) |           |
|---|--------------------|-----------|----------------------|-----------|
|   | Any grade          | Grade 3–5 | Any grade            | Grade 3–5 |
| Anaemia   | 70 (19.7)          | 15 (4.2)  | 180 (52.5)           | 60 (17.5) |
| Nausea  | 28 (7.9)           | 0         | 99 (28.9)            | 4 (1.2)   |
| Alopecia  | 4 (1.1)            | 0         | 86 (25.1)            | 2 (0.6)   |
| Decreased appetite  | 51 (14.3)          | 2 (0.6)   | 67 (19.5)            | 1 (0.3)   |
| Fatigue   | 49 (13.8)          | 7 (2.0)   | 64 (18.7)            | 5 (1.5)   |
| Neutropenia*  | 10 (2.8)           | 3 (0.8)   | 64 (18.7)            | 35 (10.2) |
| Constipation  | 29 (8.1)           | 0         | 56 (16.3)            | 0         |
| Thrombocytopenia*   | 7 (2.0)            | 1 (0.3)   | 56 (16.3)            | 28 (8.2)  |
| Vomiting  | 17 (4.8)           | 0         | 50 (14.6)            | 4 (1.2)   |
| Decreased neutrophil count*                                   | 2 (0.6)            | 1 (0.3)   | 43 (12.5)            | 19 (5.5)  |
| Back pain   | 43 (12.1)          | 0         | 22 (6.4)             | 2 (0.6)   |
| Pneumonia   | 41 (11.5)          | 19 (5.3)  | 41 (12.0)            | 21 (6.1)  |
| Arthralgia  | 42 (11.8)          | 0         | 37 (10.8)            | 1 (0.3)   |
| Decreased platelet count*                                     | 6 (1.7)            | 0         | 40 (11.7)            | 13 (3.8)  |
| Peripheral neuropathy   | 3 (0.8)            | 1 (0.3)   | 39 (11.4)            | 2 (0.6)   |
| Dyspnoea  | 40 (11.2)          | 10 (2.8)  | 28 (8.2)             | 8 (2.3)   |
| Cough   | 36 (10.1)          | 0         | 31 (9.0)             | 1 (0.3)   |
| Pruritus  | 36 (10.1)          | 0         | 12 (3.5)             | 0         |

#### Study Design: Phase 3 study

Continued Cemiplimab Beyond Progression with Addition of Chemotherapy



#### **Baseline Characteristics**

|  | ITT                                  | Beyond Progression                |
|--|--------------------------------------|-----------------------------------|
| n (%), unless stated otherwise                             | Cemiplimab<br>(n=357)                | Cemiplimab<br>(N=64)              |
| Age<br>Median (Q1 : Q3)<br>≥65, n (%)                      | 63.0 (58.0 : 69.0)<br>157 (44.0)     | 62.5 (57.5 : 69.0)<br>28 (43.8)   |
| Sex<br>Male<br>Female                                      | 313 (87.7)<br>44 (12.3)              | 55 (85.9)<br>9 (14.1)             |
| Region of enrolment<br>Europe<br>Asia<br>Rest of the world | 276 (77.3)<br>39 (10.9)<br>42 (11 8) | 51 (79.7)<br>7 (10.9)             |
| ECOG performance status score<br>0<br>1                    | 42 (11.8)<br>96 (26.9)<br>261 (73.1) | 6 (9.4)<br>20 (31.3)<br>44 (68.8) |
| Histology/Cytology, n (%)<br>Squamous<br>Non-squamous      | 160 (44.8)<br>197 (55.2)             | 37 (57.8)<br>27 (42.2)            |
| Cancer stage at screening n (%)<br>Stage III<br>Stage IV   | 63 (17.6)<br>294 (82.4)              | 12 (18.7)<br>52 (81.3)            |

ECOG, Eastern Cooperative Oncology Group; ITT, intention-to-treat; Data cutoff date: Left column - March 4, 2022; Right column - March 1, 2020

#### Efficacy: Continued Cemiplimab Beyond Progression with Addition of Chemotherapy

|   | Cemiplimab Beyond Progression N=64 |              |  |
|---|------------------------------------|--------------|--|
|   | Period 1                           | Period 2     |  |
| Objective Response Rate (ORR: CR+PR), n (%) | 19 (29.7)                          | 20 (31.3)    |  |
| 95% CI for ORR (range %)                    | (18.9, 42.4)                       | (20.2, 44.1) |  |
| Best Overall Tumor Response, n (%)          |                                    |              |  |
| Complete Response (CR)                      | 0                                  | 3 (4.7)      |  |
| Partial Response (PR)                       | 19 (29.7)                          | 17 (26.6)    |  |
| Stable Disease (SD)                         | 28 (43.8)                          | 35 (54.7)    |  |
| Non-CR/Non-PD                               | 0                                  | 0            |  |
| Progressive Disease (PD)                    | 13 (20.3)                          | 9 (14.1)     |  |
| Not Evaluable (NE)                          | 4 (6.3)                            | 0            |  |

CI, confidence interval

Data cutoff date: March 1, 2020 - Left Column; Oct 1, 2021 - Right column

|  | Cemiplimab Beyond Progression N=64 |                   |  |  |
|--|------------------------------------|-------------------|--|--|
| PFS  | Period 1                           | Period 2          |  |  |
| Median (95% CI, months)                      | 6.2 (4.2, 8.2)                     | 6.6 (6.1, 9.3)    |  |  |
| Estimated Event-Free Probability, % (95% CI) |                                    |                   |  |  |
| 6 months                                     | 50.7 (37.0, 62.9)                  | 66.2 (53.0, 76.5) |  |  |
| 12 months                                    | 24.1 (13.3, 36.6)                  | 31.2 (19.5, 43.7) |  |  |
| 18 months                                    | 0 (NE, NE)                         | 15.7 (7.2, 27.2)  |  |  |
| 24 months                                    | 0 (NE, NE)                         | 8.4 (2.0, 20.7)   |  |  |

CI, confidence interval; PFS, progression free survival; NE, non-evaluable

|  | Cemiplimab Beyond Progression N=64   |  |  |  |
|--|--------------------------------------|--|--|--|
| OS   | Period 1+2<br>Randomization to Death | Period 2<br>Day 1 of Continued<br>Treatment to Death |  |  |
| Median (95% CI, months)                    | 27.4 (23.0, 31.8)*                   | 15.1 (11.3, 18.7)                                    |  |  |
| Estimated Survival Probability, % (95% CI) |                                      |  |  |  |
| 6 months                                   | 100 (NE, NE)                         | 91.9 (81.6, 96.5)                                    |  |  |
| 12 months                                  | 91.8 (81.4, 96.5)                    | 56.8 (43.0, 68.5)                                    |  |  |
| 24 months                                  | 60.5 (46.6, 71.8)                    | 26.2 (14.3, 39.8)                                    |  |  |
| 36 months                                  | 32.3 (20.1, 45.1)                    | NE (NE, NE)  |  |  |

\*Includes the 15.1 months of survival beyond progression. CI, confidence interval; OS, overall survival; NE, non-evaluable

#### Safety: Continued Cemiplimab Beyond Progression with Addition of Chemotherapy

| n (%), unless stated                              | Cemiplimab + Chemotherapy<br>beyond progression (N=64) |           | AEs in Period 2, in<br>≥10% of pts in either<br>arm, n (%) | Cemiplimab + Chemothera<br>beyond progression (N=64 |           |
|---|--|-----------|--|---|-----------|
| Median duration of                                |  |           |  | Any grade   | Grade 3–5 |
| cemiplimab exposure in<br>Period 2 (range), weeks | 27.1 (6.0  | 0–109.1)  | Anaemia  | 22 (34.4)   | 6 (9.4)   |
| renou z (range), weeks                            |  |           | Diarrhoea  | 17 (26.6)   | 2 (3.1)   |
| AEs in Period 2,                                  | Any grade  | Grade 3–5 | Nausea   | 15 (23.4)   | 0         |
| regardless of attribution                         |  |           | Alopecia   | 15 (23.4)   | 0         |
| Overall   | 59 (92.2)  | 23 (35.9) | Neutropenia  | 9 (14.1)  | 2 (3.1)   |
| Led to discontinuation                            | 3 (4.7)  | 3 (4.7)   | Asthenia   | 8 (12.5)  | 1 (1.6)   |
| Led to death                                      | 3 (4.7)  | 3 (4.7)   | Vomiting   | 7 (10.9)  | 1 (1.6)   |
| AEs, adverse events; pts, patients                |  |           | Decreased appetite   | 7 (10.9)  | 0         |

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Hypoalbuminaemia

7 (10.9)



- At 3-year follow-up, there was a further and significant improvement in the observed OS and PFS benefit of cemiplimab monotherapy versus chemotherapy in patients with advanced NSCLC with PD-L1 ≥50%
  - The improvement in OS was achieved despite a crossover rate of 75%
  - At 3-year follow-up, the HRs of cemiplimab versus chemotherapy improved (compared to at 1year follow-up) for both PFS and OS
- Continued cemiplimab beyond progression with the addition of chemotherapy provided meaningful and durable ORR and OS benefits
- Durable ORR and OS benefits compare favorably to historical data of patients receiving chemotherapy alone as 2L therapy (after immune-checkpoint inhibitor monotherapy)

First-line cemiplimab improved outcomes for patients with advanced or metastatic NSCLC with PD-L1 ≥ 50% (and no EGFR, ALK, or ROS1 alterations) and is a beneficial chemotherapy treatment-free option for this subset of patients



# **Key Studies**

#### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung 1
- CheckMate-9LA
- CheckMate-227
- POSEIDON

Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreak 100/101
- EXCLAIM
- ALTA-1L



# Does first-line combination immunotherapy provide benefit for patients with advanced NSCLC?

Updated analysis

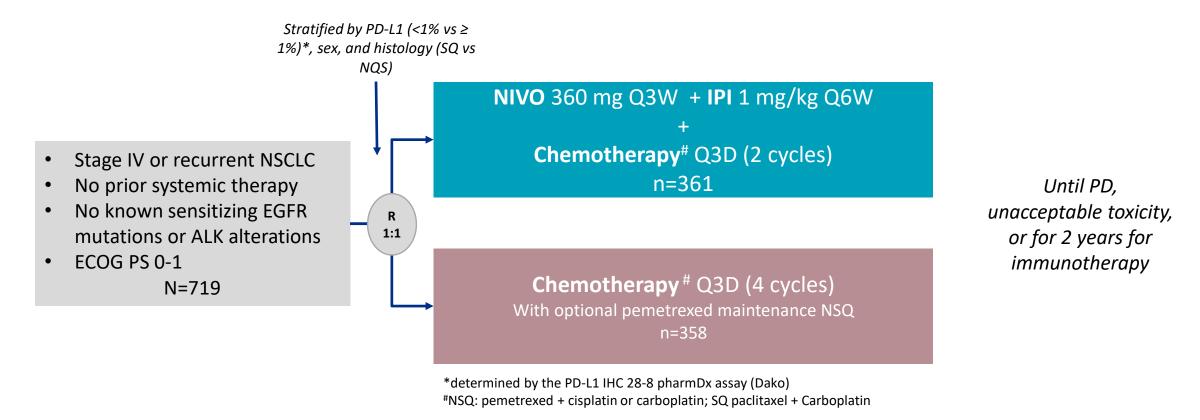
A 3-year update of CheckMate -9LA evaluating nivolumab/ipilimumab <u>plus</u> chemotherapy vs chemotherapy alone



#### **KEY DATA**

### CheckMate-9LA Clinical Trial

#### Study Design: 3-year update NIVO + IPI + Chemo vs Chemo in 1L advanced NSCLC



Primary endpoints: OS

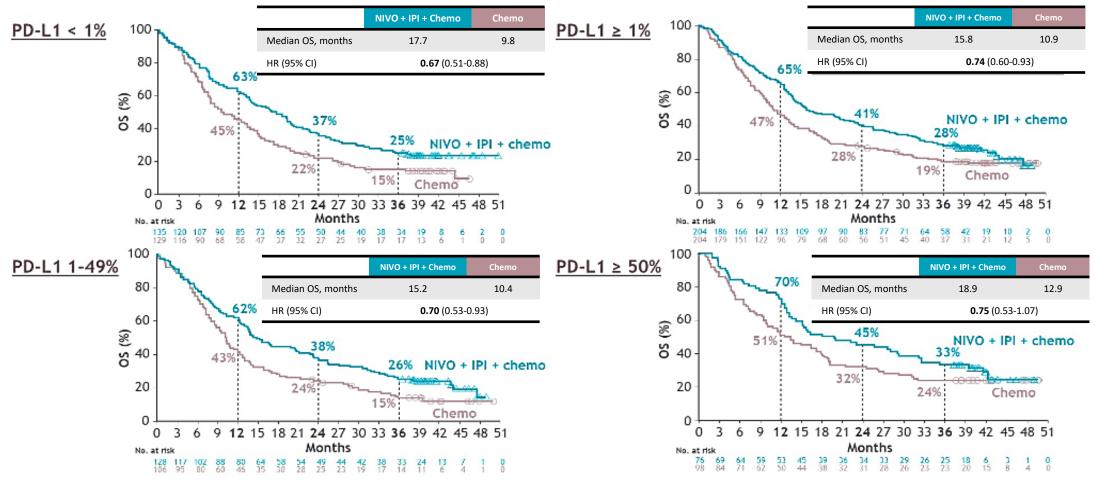
**Secondary endpoints:** PFS by BICR, ORR by BICR, efficacy by tumor PD-L1 expression **Exploratory endpoints:** Efficacy by oncogenic drivermutation status (KRAS, TP53, STK11, KEAP1)

> Data cutoff: 15 February 2022 Minimum/median follow-up for OS: 36.1 / 42.6 months

#### 3-year update: OS in all randomized patients



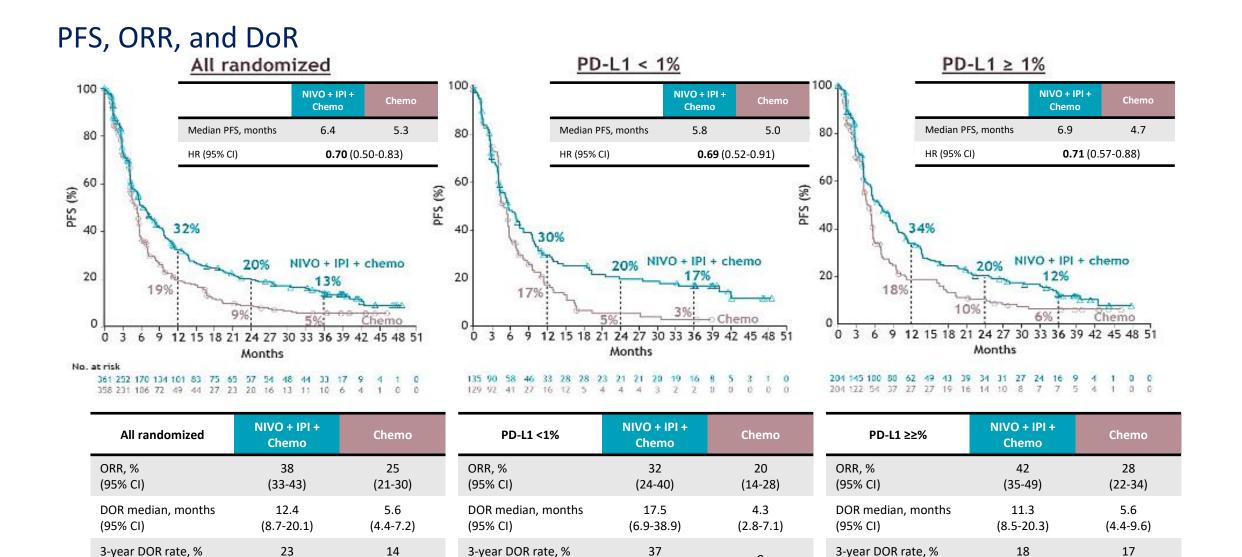
#### OS by PD-L1 expression



Database lock: February 15, 2022; minimum follow-up: 36.1 months.

\*95% CI, 13.7-20.3 (NIVO + IPI + chemo) and 7.7-13.5 (chemo); <sup>b</sup>95% CI, 13.8-22.2 (NIVO + IPI + chemo) and 9.5-13.2 (chemo); <sup>c</sup>95% CI, 12.6-21.2 (NIVO + IPI + chemo) and 8.7-12.4 (chemo); <sup>d</sup>95% CI, 13.1-29.1 (NIVO + IPI + chemo) and 9.4-17.6 (chemo).

### CheckMate-9LA Clinical Trial



(22-53)

0

(95% CI)

(10-28)

(8-28)

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(95% CI)

(95% CI)

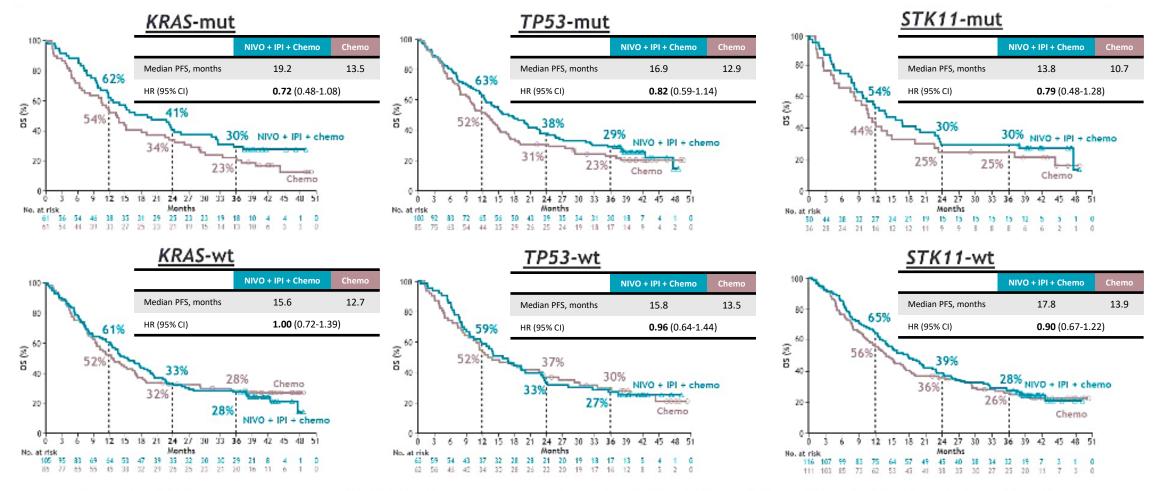
(15-31)

(8-23)

#### **KEY DATA**

#### CheckMate-9LA Clinical Trial

#### Exploratory endpoint: OS by oncogenic mutation



• Similar trend of OS benefit was seen with NIVO + IPI + chemo vs chemo in KRAS G12C-mut (n = 50) and KEAP1-mut (n = 32) subgroups

# Does first-line combination immunotherapy provide benefit for patients with advanced NSCLC?

Updated analysis

A 5-year update of CheckMate -227 evaluating nivolumab/ipilimumab vs chemotherapy



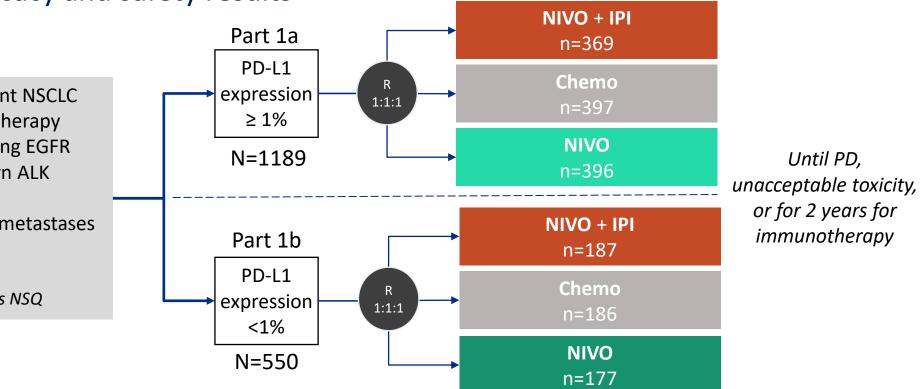
## CheckMate-227 Clinical Trial

Study Design: NIVO + IPI versus chemotherapy as a 1L treatment for advanced NSCLC Updated 5-year efficacy and safety results



- No prior systemic therapy
- No known sensitizing EGFR mutations or known ALK alterations
- No untreated CNS metastases
- ECOG PS 0-1

Stratified by SQ vs NSQ



#### Independent Primary endpoints: NIVO + IPI vs Chemo

- PFS in high TMB (≥ 10 mut /Mb) population
- OS in PD-L1 ≥1% population

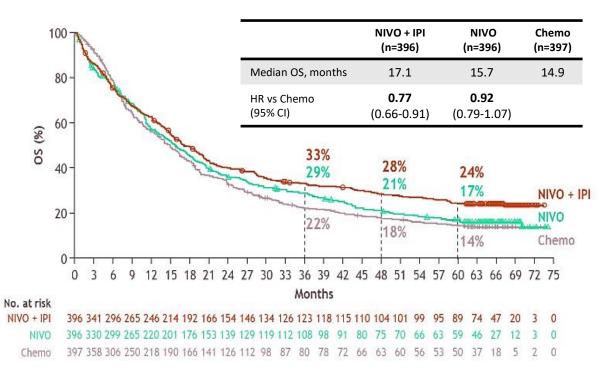
Database lock: February 18, 2021; minimum / median follow-up for OS: 49.4 months / 54.8 months.

Treatment was continued until disease progression, unacceptable toxicity, or for 2 years for immunotherapy. \*NCT02477826; \*NSQ: pemetrexed + cisplatin or carboplatin, Q3W for ≤ 4 cycles, with optional pemetrexed maintenance following NIVO + pemetrexed maintenance following NIVO + chemo; SQ: gencitabine, or gencitabine + carboplatin, Q3W for ≤ 4 cycles; \*60th endpoints were met; results were previously reported. 1. Hellmann MD, et al. N Engl J Med 2018;378:2093-2104; 2. Hellmann MD, et al. N Engl J Med 2019;378:2094.

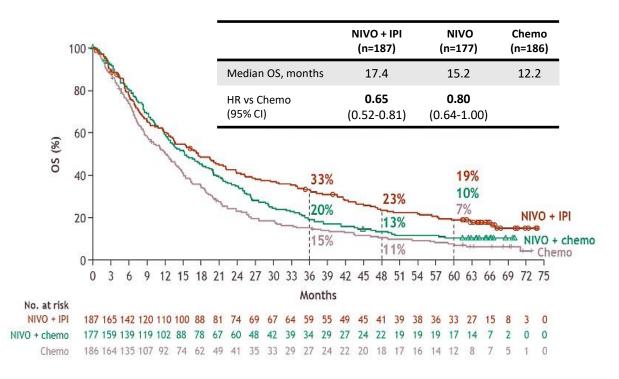
#### CheckMate-227 Clinical Trial

#### 5 Year Update: Overall Survival

#### PD-L1 ≥1%

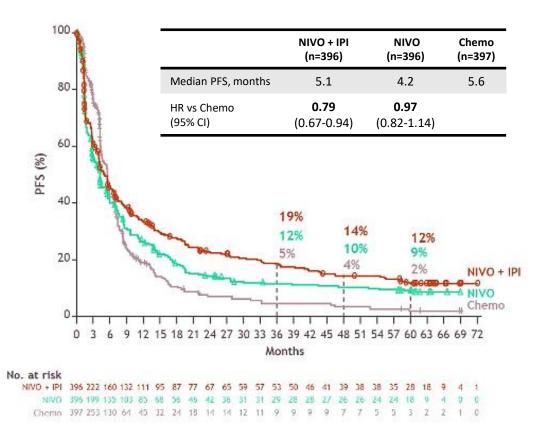


#### PD-L1 <1%

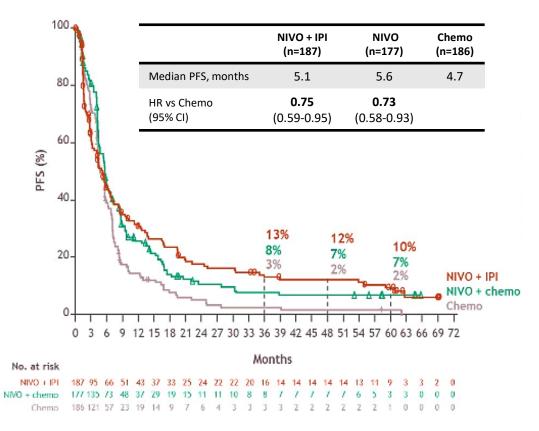


#### 5 Year Update: Progression Free Survival

#### PD-L1 ≥1%



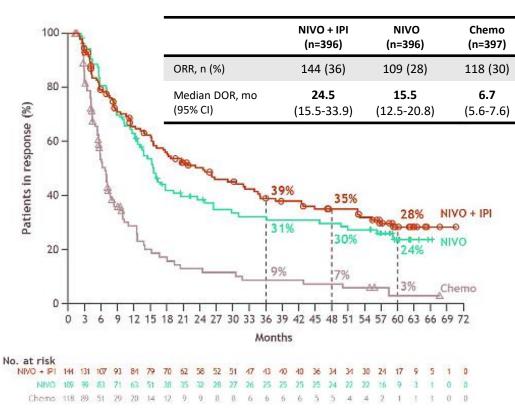
#### PD-L1 <1%



#### **KEY DATA**

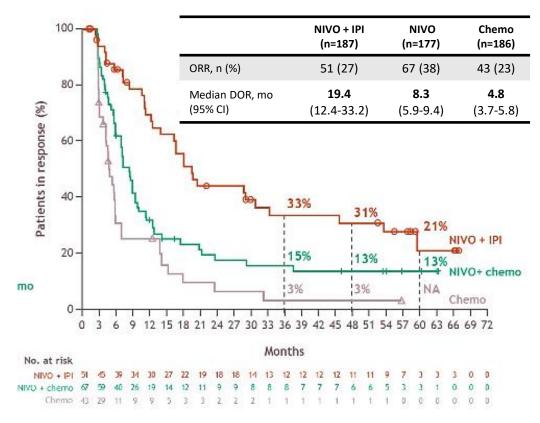
#### CheckMate-227 Clinical Trial

#### 5 Year Update: Duration Of Response



#### PD-L1 ≥1%

#### PD-L1 <1%

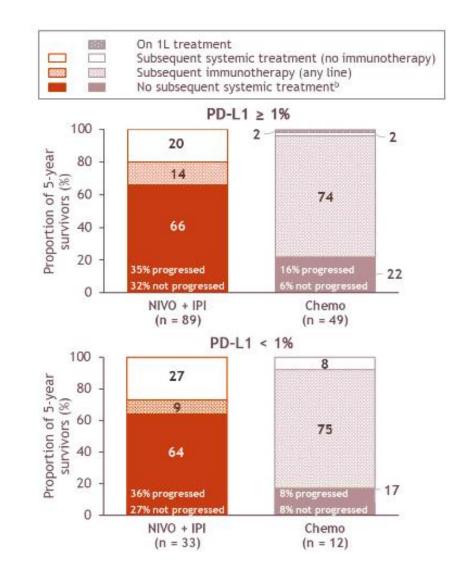


## CheckMate-227 Clinical Trial

#### 5-year survivors

| Detiente elive et | PD-L'       | l ≥ 1%      | PD-L1 < 1%  |             |
|-------------------|-------------|-------------|-------------|-------------|
| Patients alive at | NIVO + IPI  | Chemo       | NIVO + IPI  | Chemo       |
| 5-year            | n=89        | n=50        | n=33        | n=12        |
| Median PFS, mo    | 59.1        | 9.5         | 60.7        | 24.9        |
| (95% CI)          | (35.8-NA)   | (7.0-22.1)  | (19.4-NA)   | (4.0-61.9)  |
| 5-year PFS, %     | 49          | 14          | 51          | 30          |
| (95% CI)          | (37-60)     | (4-28)      | (30-68)     | (5-62)      |
| ORR, n (%)        | 71 (80)     | 27 (54)     | 27 (82)     | 6 (50)      |
| (95% Cl)          | (69.9-87.6) | (39.3-68.2) | (64.5-93.0) | (21.1-78.9) |
| Median DOR, mo    | NR          | 12.4        | 59.4        | 15.2        |
| (95% CI)          | (52.6-NA)   | (5.6-24.4)  | (18.0-NA)   | (2.7-NA)    |
| 5-year DOR, %     | 54          | 17          | 41          | NA          |
| (95% CI)          | (40-66)     | (4-36)      | (14-66)     |             |

- In 5-year survivors treated with NIVO + IPI vs chemo:
  - 66% vs 20% (PD-L1 ≥1%) and 64% vs 17% (PD-L1 <1%)</li>
     remained treatment-free ≥ 3 years after discontinuation of study treatment



## CheckMate -9LA and CheckMate -227 Clinical Trials

**CheckMate -9LA:** At 3 years: OS, PFS and DOR benefits were maintained across key subgroups with high unmet need, including those with PD-L1 expression <1%. Updated results continue to support NIVO + IPI + 2 cycles of chemotherapy as an efficacious 1L treatment option for patients with advanced NSCLC.

CheckMate -227: At 5 years: OS, PFS and DOR benefits were maintained. Updated results continue to support NIVO + IPI as an efficacious 1L treatment option regardless of PD-L1 expression for patients with advanced NSCLC.

The longest follow-up of any Phase 3 trial for an immunotherapy combination in non-small cell lung cancer

## Nivolumab (Opdivo<sup>®</sup>) plus ipilimumab (Yervoy<sup>®</sup>)-based combinations continue to demonstrate long-term survival benefits in the 1L setting for patients with advanced NSCLC



# **Key Studies**

#### (Neo)Adjuvant NSCLC

- CheckMate-816
- NADIM II
- ADAURA
- KEYNOTE-091

Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung 1
- CheckMate -9LA
- CheckMate-227
- **POSEIDON**

Actionable NSCLC

- KRYSTAL-1
- CodeBreak 100/101
- EXCLAIM
- ALTA-1L



## Does front-line durvalumab with tremelimumab and chemotherapy provide benefit for patients with metastatic NSCLC?

Updated analysis

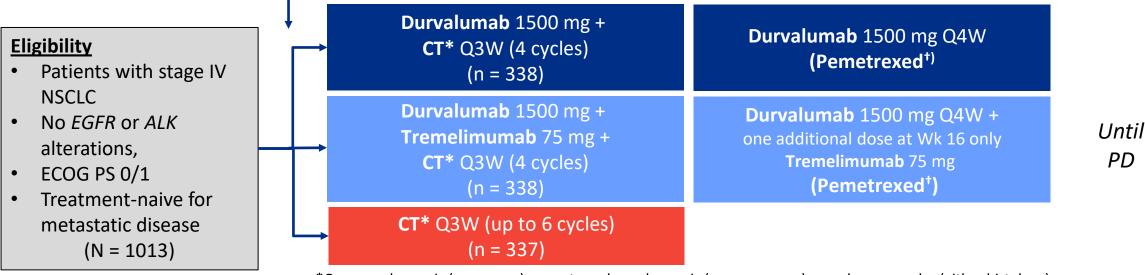
**On November 10, 2022**, the Food and Drug Administration approved tremelimumab (Imjudo, AstraZeneca Pharmaceuticals) in combination with durvalumab (Imfinzi, AstraZeneca Pharmaceuticals) and platinum-based chemotherapy for adult patients with metastatic non-small cell lung cancer (NSCLC) with no sensitizing epidermal growth factor receptor (EGFR) mutation or anaplastic lymphoma kinase (ALK) genomic tumor aberrations.



#### **POSEIDON Clinical Trial**

### Study Design: Open-label, multicenter, randomized phase III trial

Stratified by PD-L1 (≥ 50% vs <50%), disease stage (IVA vs IVB), histology (nsq vs sq)



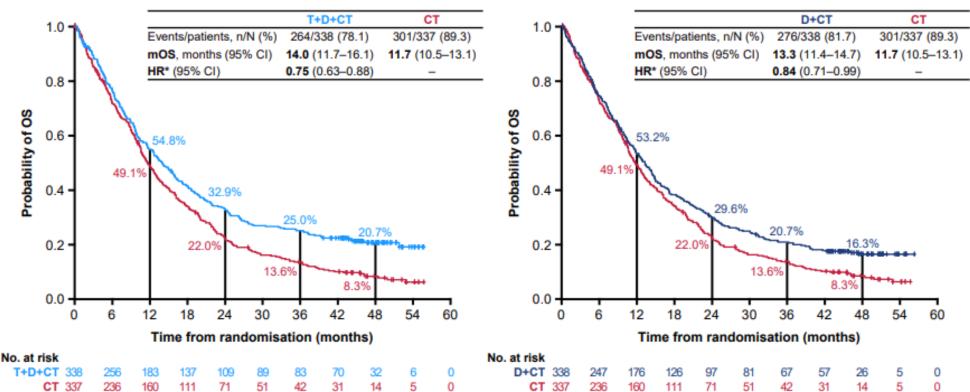
\*Gem + carbo or cis (squamous), pemetrexed + carbo or cis (nonsquamous), or nab-pac + carbo (either histology). †Maintenance pemetrexed only given to patients with nonsquamous NSCLC who received first-line pemetrexed.

Primary endpoints: PFS by BICR, OS (D + CT vs CT); positivity for either triggered analysis of key secondary endpoints
Key secondary endpoints: PFS by BICR, OS, OS in patients with bTMB ≥20 mut/Mb (D + T + CT vs CT)
Other secondary endpoints: ORR, DoR, BOR by BICR; 12-mo PFS; HRQoL; safety/tolerability

#### **Baseline Characteristics**

| Characteristic                            | Durvalumab + CT<br>(n = 338) | Durvalumab +<br>Tremelimumab + CT<br>(n = 338) | CT<br>(n = 337)         |
|---|------------------------------|--|-------------------------|
| Median age, yr (range)                    | 64.5 (32-87)                 | 63.0 (27-87)                                   | 64.0 (32-84)            |
| Male, %                                   | 74.9                         | 79.6   | 73.6                    |
| White/Asian/other, %                      | 53.8/36.4/9.8                | 60.7/29.3/10.1                                 | 53.1/38.0/8.9           |
| E Europe/Asia/N Am/W Europe/other, %      | 30.5/35.5/13.6/7.7/12.7      | 36.1/28.4/13.0/8.6/13.9                        | 28.2/36.8/11.9/8.3/14.8 |
| ECOG PS 0/1, %                            | 32.3/67.8                    | 32.5/67.5                                      | 35.3/64.4               |
| Squamous/non-squamous histology, %        | 37.9/61.8                    | 36.7/63.3                                      | 36.2/63.5               |
| AJCC disease stage IVA/IVB, %             | 50.3/49.4                    | 50.6/48.8                                      | 49.3/50.4               |
| Current or former/never smoker, %         | 75.1/24.9                    | 82.5/17.5                                      | 76.3/23.4               |
| PD-L1 expression $\geq$ 50%/ $\geq$ 1%, % | 27.8/66.3                    | 29.9/63.0                                      | 28.8/61.4               |
| CNS metastases, %                         | 8.3                          | 9.8  | 13.4                    |
| Liver metastases, %                       | 18.3                         | 20.4   | 23.7                    |

#### Updated overall survival



T+D+CT vs CT

D+CT vs CT

Median follow-up in censored patients at DCO: 46.5 months (range 0.0–56.5) \*HR <1 favours D(±T)+CT vs CT (stratified analysis); DCO, 11 Mar 2022

#### Updated OS by Subgroup

|                       |   | Events/<br>patients, n/N                 | T+D+CT vs (                           | CT HF                    | Events/<br>R patients, n/l | D+CT vs (                | CT <sub>HR</sub>                   |
|-----------------------|---|--|---------------------------------------|--------------------------|----------------------------|--------------------------|------------------------------------|
| All patients          |   | 565/675                                  | <b>⊢</b> •                            | 0.7                      | 5 577/675                  | <b>⊢</b> •−1             | 0.84                               |
| Sex                   | Male<br>Female  | 442/517<br>123/158                       |                                       | 0.6<br>0.9               |                            |                          | 0.78                               |
| Age                   | <65 years<br>≥65 years  | 297/367<br>268/308                       |                                       | 0.7<br>0.7               | 5 290/345<br>2 287/330     |                          | 0.83<br>0.78                       |
| PD-L1<br>expression   | TC ≥50%<br>TC <50%<br>TC ≥1%<br>TC <1%                              | 154/198<br>411/477<br>336/420<br>229/255 |                                       | 0.6<br>0.8<br>0.7<br>0.8 | 0 425/483<br>0 356/431     |                          | → 0.62<br>→ 0.91<br>0.75<br>→ 0.98 |
| Histology             | Squamous<br>Non-squamous  | 223/246<br>341/428                       |                                       | 0.8<br>0.6               |                            |                          | 0.81                               |
| Planned CT            | Nab-paclitaxel doublet<br>Pemetrexed doublet<br>Gemcitabine doublet | 34/42 H<br>326/411<br>205/222            | i i i i i i i i i i i i i i i i i i i | → 0.5<br>0.7<br>→ 0.8    | 0 330/407                  |                          | 0.70<br>0.79<br>0.89               |
| Smoking<br>history    | Current<br>Former<br>Never  | 122/150<br>319/386<br>123/138            |                                       | 0.5<br>0.7<br>           | 1 329/381                  |                          | ● 0.73<br>0.80<br>● 0.88           |
| Race                  | Asian<br>Non-Asian  | 185/227<br>380/448                       |                                       | - 0.9<br>0.6             | 3 206/251<br>2 371/424     |                          | - 0.90<br>0.74                     |
| ECOG PS               | 0<br>1  | 180/229<br>385/446                       |                                       | 0.7<br>0.7               | 3 183/228<br>1 394/447     |                          | 0.70<br>0.84                       |
| Brain<br>metastases   | Yes<br>No   | 62/78<br>503/597                         |                                       | 0.8                      | 1 61/73<br>2 516/602       |                          | 0.90 0.79                          |
| AJCC disease<br>stage | IVA<br>IVB  | 279/337<br>285/335                       |                                       | 0.7<br>0.7               |                            |                          | 0.68<br>0.98                       |
|                       |   | 0.25                                     | 0.5 1<br>Favours T+D+CT               | 2<br>Favours CT          | 0.                         | 25 0.5 1<br>Favours D+CT | 2<br>Favours CT                    |

HR <1 favours D(±T)+CT vs CT (all patients analysis stratified, subgroup analysis unstratified); size of circle is proportional to the number of events across both treatment groups; DCO, 11 Mar 2022

#### Updated OS by histology

NSQ SQ T+D+CT D+CT T+D+CT D+CT СТ Events/patients, n/N 158/214 162/209 183/214 Events/patients, n/N 106/124 113/128 mOS, months (95% CI) 17.2 (14.9-21.8) 14.8 (11.8-18.3) 13.1 (10.6-15.1) mOS, months (95% CI) 10.4 (8.4-12.7) 11.5 (9.4-14.0) 0.68 (0.55-0.85) 0.80 (0.64-0.98) 0.83 (0.64-1.08) 0.81 (0.63-1.06) HR\* (95% CI) HR\* (95% CI) \_ 1.0 1.0 -0.8 0.8 Probability of OS Probability of OS 0.6 0.6 41.4% 35.4% 0.4 31.4% 0.4 25.0% 20.6% 25.1% 18.1% 14.0% 26.8% 0.2 0.2 14.0% 20.2% 17.3% 14.1% ..... 10.0% 0.0 0.0 12 24 30 36 42 12 18 6 18 48 54 60 0 24 30 36 42 0 6 Time from randomisation (months) Time from randomisation (months) No. at risk No. at risk T+D+CT 214 T+D+CT 124 25 3 0 129 57 87 54 34 22 17 13 152 116 59 50 42 20 4 D+CT 128 36 25 22 17 15 D+CT 209 90 72 0 95 60 **CT** 214 155 111 79 54 38 33 23 9 0 CT 122 80 48 32 17 13 9 8 4

\*HR <1 favours D(±T)+CT vs CT (unstratified analysis); DCO, 11 Mar 2022

СТ

117/122

10.5 (8.0-11.7)

13.1%

9.9%

54

3

1

1

6

5

60

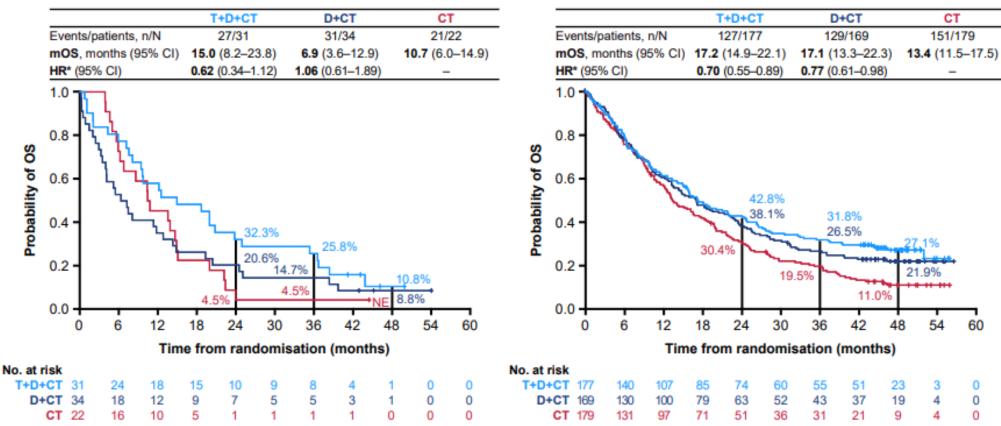
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#### Updated OS by *STK11* mutation status

#### STK11m

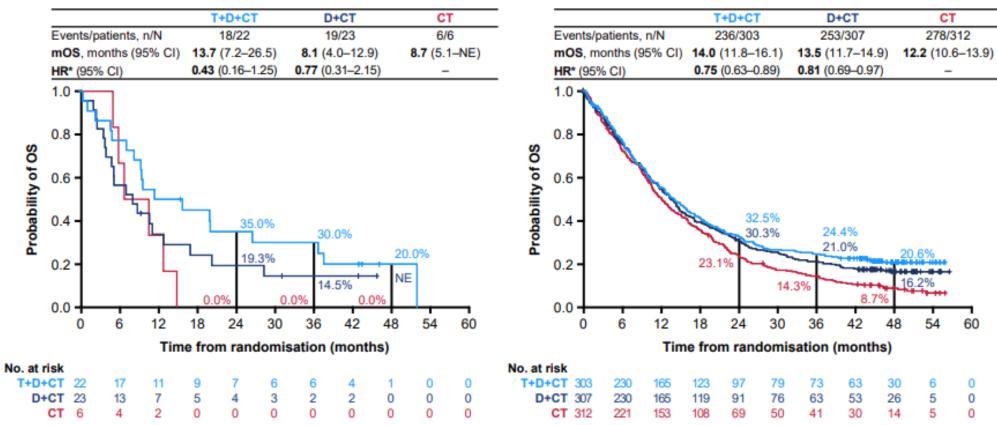


STK11wt

STK11 mutations were present in 31 patients in the triplet combination group and 22 in the chemotherapy alone group \*HR <1 favours D(±T)+CT vs CT (unstratified analysis); DCO, 11 Mar 2022

#### Updated OS by *KEAP1* mutation status

#### *KEAP1*m



KEAP1wt

*KEAP1* mutations were present in 22 patients in the triplet combination group and 6 patients in the chemotherapy alone group \*HR <1 favours D(±T)+CT vs CT (unstratified analysis); DCO, 11 Mar 2022

#### Updated OS by *KRAS* mutation status

#### **KRAS**m **KRASwt** T+D+CT D+CT T+D+CT СТ СТ D+CT Events/patients, n/N 41/60 53/69 Events/patients, n/N 113/148 107/134 127/148 45/53 mOS, months (95% CI) 25.7 (9.9-36.7) 12.6 (7.5-16.9) 10.4 (7.5-13.6) mOS, months (95% CI) 17.1 (13.4–20.1) 17.1 (12.3–22.6) 14.4 (12.6-18.3) 0.55 (0.36-0.85) 0.78 (0.52-1.16) 0.78 (0.60-1.00) 0.83 (0.64-1.08) HR\* (95% CI) HR\* (95% CI) \_ 1.0 🖡 1.0 -0.8 0.8 Probability of OS Probability of OS 0.6 -0.6 51.7% 37.6% 40.0% 0.4 0.4 6.8% 27.1% 32.9% 30.4% 23.7% 26.1% 28.0% 21.3% 25.6% 0.2 0.2 23.2% 18.5% 15.8% 10.8% 10.09 0.0 0.0 0 12 24 30 36 42 48 60 12 24 30 36 60 6 18 54 0 6 18 42 48 54 Time from randomisation (months) Time from randomisation (months) No. at risk No. at risk T+D+CT 60 0 T+D+CT 0 46 36 31 31 26 24 21 12 118 89 69 53 43 39 12 D+CT 69 47 35 25 21 20 18 16 9 49 37 11 3 1 0 D+CT 134 101 77 63 30 24 0 CT 53 9 6 2 37 21 16 13 7 0 28 25 16 7 0 **CT** 148 110 86 60 39 4 0

*KRAS* mutations were present in 60 patients in the triplet combination group and 53 patients in the chemotherapy alone group \*HR <1 favours D(±T)+CT vs CT (unstratified analysis); DCO, 11 Mar 2022

#### Updated safety

|  | D+T+CT (n=330) | D+CT (n=334) | CT (n=333) |
|--|----------------|--------------|------------|
| All-cause AEs, n (%)                     |                |              |            |
| • SAEs                                   | 146 (44.2)     | 135 (40.4)   | 117 (35.1) |
| <ul> <li>AEs leading to death</li> </ul> | 41 (12.4)      | 35 (10.5)    | 30 (9.0)   |
| Treatment-related AEs, n (%)             |                |              |            |
| • SAEs                                   | 91 (27.6)      | 69 (19.5)    | 59 (17.7)  |
| <ul> <li>AEs leading to death</li> </ul> | 11 (3.3)       | 7 (2.1)      | 8 (2.4)    |



### **POSEIDON Clinical Trial**

#### Durvalumab plus tremelimumab and chemotherapy:

- Median overall survival of 14 months vs 11.7 months with chemotherapy alone
  - OS HR vs CT 0.75 (95% CI 0.63–0.88)
  - The rate of overall survival at 36 months was 25% vs 13.6%
- OS benefit with triplet vs CT appeared more pronounced in patients with NSQ (than SQ) histology
  - NSQ OS HR 0.68; 95% CI 0.55–0.85
- Improved overall survival benefit observed compared with chemotherapy alone in lung cancers associated with mutations that are considered more difficult to treat: STK11, KEAP1, and KRAS

#### Durvalumab plus chemotherapy

- Median overall survival of 13.3 months vs 11.7 months with chemotherapy alone
  - OS HR vs CT 0.84 (95% CI 0.71–0.99)
  - The rate of overall survival at 36 months was 20.7% vs 13.6%
- There were no new safety signals observed in the long-term follow-up of SAEs

## Durvalumab plus tremelimumab and chemotherapy is a firstline treatment option for metastatic NSCLC



# **Key Studies**

#### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung 1
- CheckMate-9LA
- CheckMate-227
- POSEIDON

Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreaK100/101
- EXCLAIM
- ALTA-1L



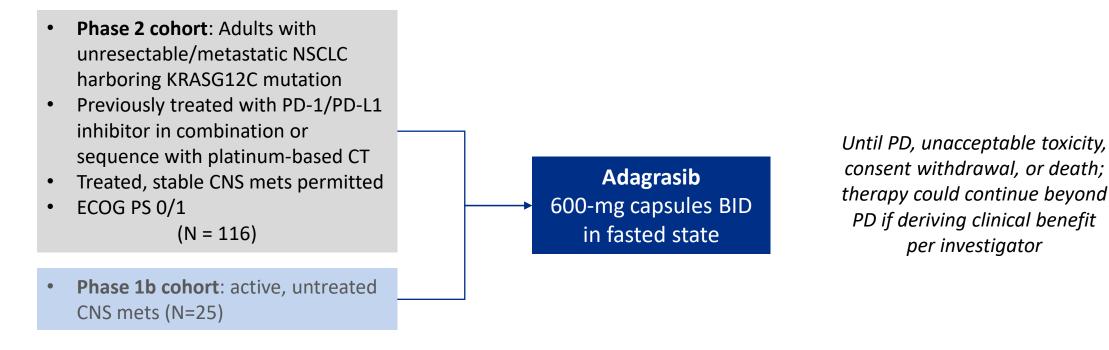
## Does adagrasib provide clinical benefit for patients with *KRAS G12C*-mutated non-small cell lung cancer (NSCLC) after progression on 1L treatment?

On **December 12, 2022**, the FDA granted accelerated approval to adagrasib (Krazati, Mirati Therapeutics, Inc.), a RAS GTPase family inhibitor, for adult patients with KRAS G12C-mutated locally advanced or metastatic non-small cell lung cancer (NSCLC), as determined by an FDA-approved test, who have received at least one prior systemic therapy.





#### Study Design: Multi-cohort, open-label non-randomized Phase 1/2 trial



#### Phase 2 cohort

**Primary efficacy endpoint**: objective response per BICR **Secondary efficacy endpoints:** DCR, DoR, PFS, OS, 1-yr survival rate

#### Phase 1b cohort

• Safety

- Intracranial and systemic activity via BICR
- Adagrasib concentration in CSF (measured when feasible)

#### **Baseline Characteristics**

| Characteristic   | Patients<br>(N = 116)                                 |
|--|---|
| Median age, yr (range)   | 64 (25-89)  |
| Female, n (%)  | 65 (56.0)   |
| <ul> <li>Race, n (%)</li> <li>White</li> <li>Black</li> <li>Asian</li> <li>American Indian or Alaskan<br/>Native</li> <li>Other</li> </ul> | 97 (83.6)<br>9 (7.8)<br>5 (4.3)<br>1 (0.9)<br>4 (3.4) |
| ECOG PS 1, n (%)   | 97 (83.6)   |
| <ul> <li>History of smoking, n (%)</li> <li>Never</li> <li>Current</li> <li>Former</li> </ul>  | 5 (4.3)<br>11 (9.5)<br>100 (86.2)                     |

| Characteristic, n (%)   | Patients<br>(N = 116)                            |
|---|--|
| <ul> <li>No. prior lines of systemic tx</li> <li>1</li> <li>2</li> <li>3</li> <li>≥4</li> </ul>                                   | 50 (43.1)<br>40 (34.5)<br>12 (10.3)<br>14 (12.1) |
| <ul> <li>Prior platinum-based tx and/or</li> <li>checkpoint inhibitor tx</li> <li>Platinum-based tx only</li> <li>Both</li> </ul> | 2 (1.7)<br>114 (98.3)                            |
| <ul> <li>Baseline metastases</li> <li>Bone</li> <li>CNS</li> <li>Adrenal</li> <li>Liver</li> </ul>                                | 46 (39.7)<br>24 (20.7)<br>22 (19.0)<br>19 (16.4) |

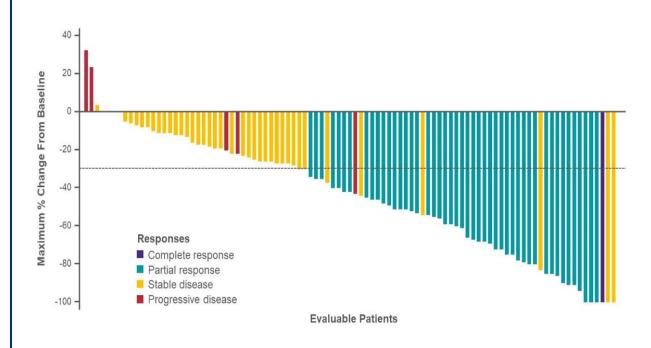
#### **KEY DATA**

## **KRYSTAL-1** Clinical Trial

#### Primary Endpoint: ORR per BICR

| Outcome, n (%)          | Patients With Measurable<br>Disease at Baseline<br>(n = 112) |
|-------------------------|--|
| Objective response rate | 48 (42.9)  |
| Best overall response   |  |
| • CR                    | 1 (0.9)  |
| • PR                    | 47 (42.0)  |
| • SD ≥6 wk              | 41 (36.6)  |
| • PD                    | 6 (5.4)  |
| Not evaluable           | 17 (5.2)   |
| Disease Control Rate    | 89 (79.5)  |

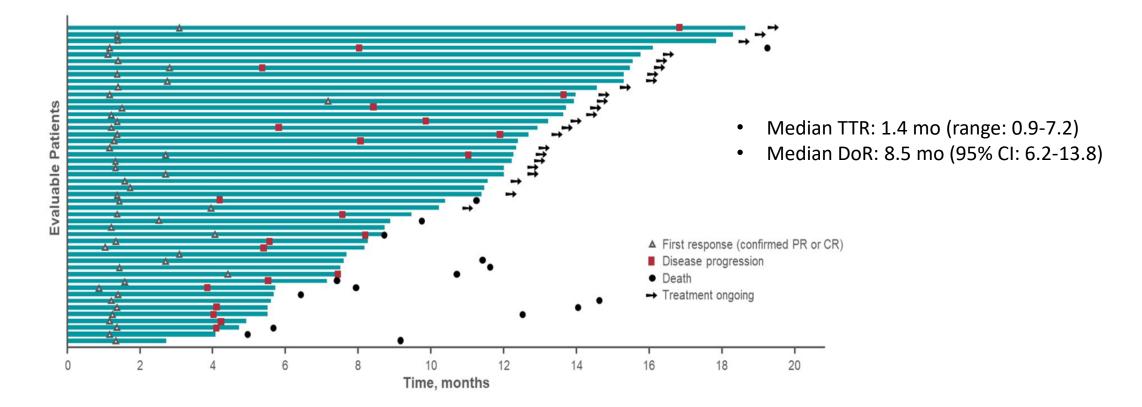
#### Best tumor change from baseline



• Tumor shrinkage noted in 89 patients (79.5%)

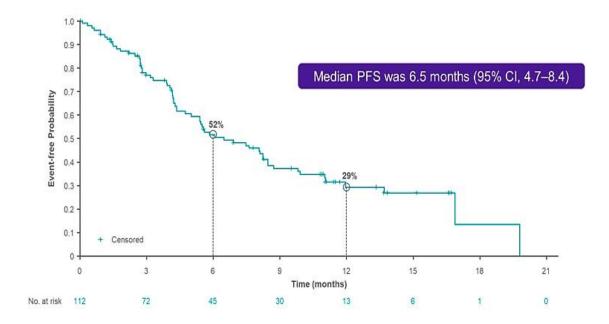


#### **Duration of Response**

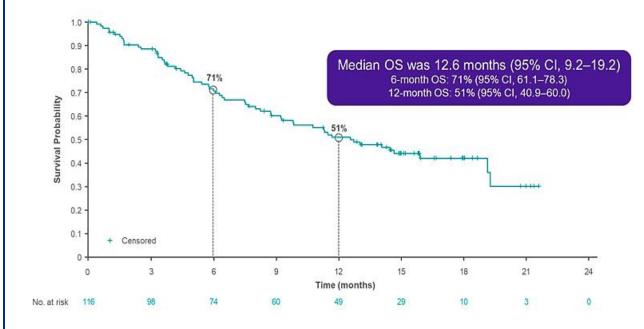




#### **Progression-Free Survival**



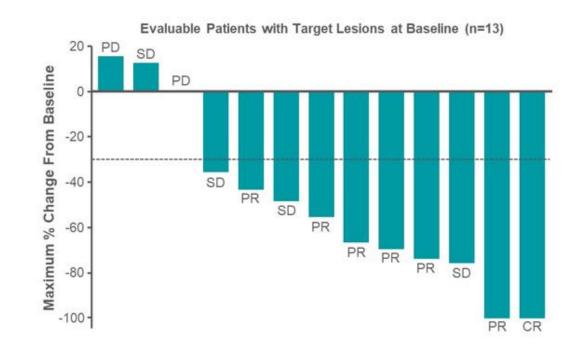
#### **Overall Survival**



#### Intracranial Response in Pts with treated, stable CNS mets

| Best Overall<br>Response | Overall<br>(n=33) | Pts with non-<br>target lesions<br>only (n=19) | Pts with target<br>lesions<br>(n=13) |
|--------------------------|-------------------|--|--------------------------------------|
| IC ORR, n (%)            | 11 (33)           | 4 (21)   | 7 (54)                               |
| • CR                     | 5 (15)            | 4 (21)   | 1 (8)                                |
| • PR                     | 6 (18)            | -  | 6 (46)                               |
| • SD                     | 17 (52)           | 13 (68)  | 4 (31)                               |
| IC DCR, n (%)            | 28 (85)           | 17 (89)  | 11 (85)                              |

Median IC DOR was 11.2 months (95% CI 3.0 – NE) Median IC PFS was 5.4 months (95% CI 3.3 – 11.6)



Data not shown for those with only nontarget lesions at baseline (n = 19) or not evaluable because postbaseline scan was too early (n = 1).

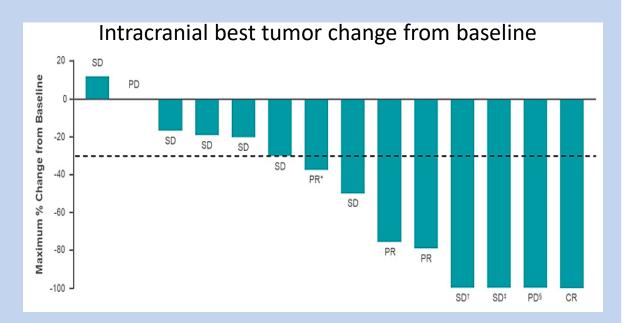
Most radiographically evaluable patients (81.8%) received radiation therapy prior to adagrasib

Spira. ASCO 2022. Abstr 9002 Sabira. ASCO 2022 LBA9009

#### Phase 1b: active, untreated CNS metastases Cohort

Intracranial Response in Pts with active, untreated CNS mets

| Best Overall<br>Response              | Overall<br>(n=19) | Pts with non-<br>target lesions<br>only (n=4) | Pts with target<br>lesions<br>(n=15) |
|---------------------------------------|-------------------|---|--------------------------------------|
| IC ORR, n (%)                         | 6 (32)            | 2 (50)  | 4 (27)                               |
| • CR                                  | 3 (16)            | 2 (50)  | 1 (7)                                |
| • PR                                  | 3 (16)            | 0   | 3 (20)                               |
| • SD                                  | 10 (53)           | 2 (50)  | 8 (53)                               |
| • PD                                  | 2 (11)            | 0   | 2 (13)                               |
| <ul> <li>Not<br/>evaluable</li> </ul> | 1 (5)             | 0   | 1 (7)                                |
| IC DCR, n (%)                         | 16 (84)           | 4 (100)                                       | 12 (80)                              |



#### Safety

| TRAEs, n (%)   | Patients (N = 116)   |  |                                  |
|--|--|--|----------------------------------|
| TRAES, II (70)   | Any Gr   | Gr 3   | Gr 4                             |
| Any  | 113 (97.4)   | 47<br>(40.5)   | 3<br>(2.6)                       |
| <ul> <li>Most common TRAEs (≥20%)</li> <li>Diarrhea</li> <li>Nausea</li> <li>Vomiting</li> <li>Fatigue</li> <li>ALT increase</li> <li>Blood creatinine increase</li> <li>AST increase</li> <li>Decreased appetite</li> </ul> | 73 (62.9)<br>72 (62.1)<br>55 (47.4)<br>47 (40.5)<br>32 (27.6)<br>30 (25.9)<br>29 (25.0)<br>28 (24.1) | 1 (0.9)  5 (4.3)  1 (0.9)  5 (4.3)  4 (3.4)  1 (0.9)  4 (3.4)  4 (3.4)  4 (3.4)  3 (3.4)  4 (3.4)  3 (3.4)  4 (3 | 0<br>0<br>0<br>1 (0.9)<br>0<br>0 |

- There were 2 grade 5 TRAEs:
  - one cardiac failure
  - one pulmonary hemorrhage

| Dose Modifications, n (%) | Patients (N = 116) |
|---------------------------|--------------------|
| Reduction                 | 60 (51.7)          |
| Interruption              | 71 (61.2)          |
| Discontinuation           | 8 (6.9)            |

- Most common TRAEs leading to dose modifications: GIrelated events, hepatic events (increased ALT, AST), fatigue
- Among patients experiencing GI-related AEs, 94.8% occurred within first 3 cycles, and incidence decreased markedly thereafter



- Adagrasib monotherapy demonstrates promising efficacy in pretreated patients with NSCLC harboring a KRASG12C mutation
  - 43% ORR and the disease control rate was 80%
  - Median PFS was 6.5 months (95% CI, 4.7-8.4)
  - Median OS was 12.6 months (95% Cl, 9.2-19.2)
  - Intracranial ORR was 33.3% (95% Cl, 18.0-51.8)
- A phase 3 study, KRYSTAL-12 (NCT04685135), is underway that will compare the effectiveness of adagrasib vs docetaxel. The primary completion date is set for August 2023.
- A phase 3 study, KRYSTAL-7 (NCT04613596) is underway for adagrasib in combination pembrolizumab in patients KRAS G12C mutation

#### Adagrasib provides clinical benefit in patients pretreated for KRAS G12C mutated NSCLC and provides an additional option for patients with and without brain metastases

More to come...



# **Key Studies**

#### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

#### Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung 1
- CheckMate-9LA
- CheckMate-227
- POSEIDON

## Targeted Therapy in NSCLC

#### • KRYSTAL-1

- CodeBreaK100/101
- EXCLAIM
- ALTA-1L

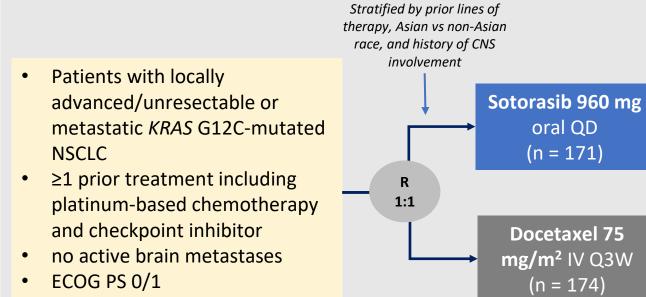


#### **KEY DATA**

## CodeBreaK200 Clinical Trial

#### Previously presented as part of the CSN ESMO Symposium 2022

On May 28, 2021, the Food and Drug Administration granted accelerated approval to sotorasib (Lumakras™), a RAS GTPase family inhibitor based on CodeBreaK 100, for adult patients with KRAS G12C -mutated locally advanced or metastatic non-small cell lung cancer (NSCLC), as determined by an FDA-approved test, who have received at least one prior systemic therapy.



(N = 345)

Primary endpoint: PFS by BICR

**Secondary endpoints:** OS<sup>+</sup>, ORR, DOR, TTR, DCR, safety/tolerability, PRO

- Modest but significant PFS improvement with sotorasib vs docetaxel in previously treated patients with KRAS G12C-mutated advanced NSCLC
  - Median PFS: 5.6 vs 4.5 mo (HR: 0.66; P = 0.002)
  - 12-mo PFS rate: 24.8% vs 10.1%
  - Benefit similar across most subgroups
- ORR (28.1% vs 13.2%, P < 0.001); but no difference in OS (not powered)
- Acceptable safety profile; fewer grade ≥3 TRAEs with sotorasib vs docetaxel
- Patient-reported outcomes more favorable for sotorasib vs docetaxel

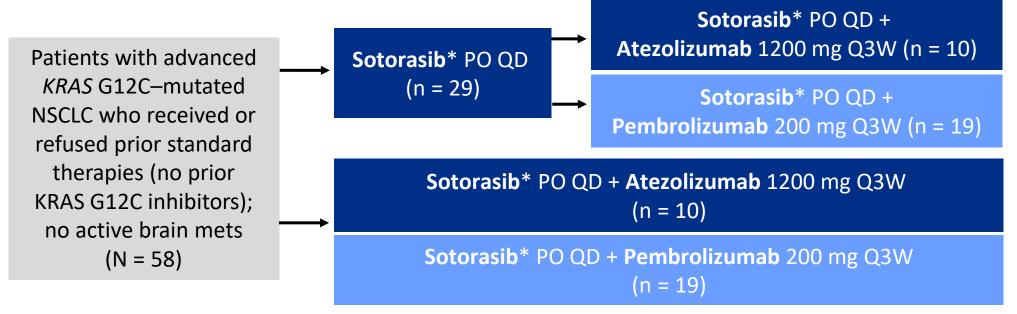
CodeBreaK100/101 Clinical Trial

## Does sotorasib in combination with IO therapy provide benefit for patients with *KRAS*-mutated non-small cell lung cancer (NSCLC) as a lead in therapy?



## CodeBreaK100/101 Clinical Trial

Study Design: Multicenter, open-label phase Ib studies of sotorasib as monotherapy or in combination with other anticancer therapies in advanced solid tumors with KRAS G12C mutations



**<sup>\*</sup>Sotorasib dose exploration:** 120 mg, 240 mg, 360 mg, 720 mg, 960 mg

**Primary endpoint**: safety **Key secondary endpoints**: ORR, DCR, DOR, PK

Median follow-up: 12.8 mo (range: 1.6-29.9)

#### **Baseline Characteristics**

|  | Total (N = 58) |
|--|----------------|
| Median age, yr (range)   | 66 (29-86)     |
| Smoking history, n (%)   | 54 (93)        |
| Median prior lines of therapy,<br>n (range)                      | 1 (0-7)        |
| Treated as first-line therapy, n (%)                             | 12 (21)        |
| Prior anti–PD-1/PD-L1, n (%)                                     | 39 (67)        |
| <ul> <li>Prior anti–PD-1/PD-L1 as last<br/>prior line</li> </ul> | 25 (43)        |
| ECOG PS, n (%)   |                |
| • 0  | 11 (19)        |
| • 1  | 47 (81)        |

|                             | Total (N = 58) |
|-----------------------------|----------------|
| Brain metastasis, n (%)     | 18 (31)        |
| Liver metastasis, n (%)     | 15 (26)        |
| PD-L1 expression, n (%)     |                |
| <li>■ &lt;1%</li>           | 10 (17)        |
| 1% to 49%                   | 16 (28)        |
| ■ ≥50%                      | 21 (36)        |
| <ul> <li>Unknown</li> </ul> | 11 (19)        |

#### Safety by Dose of Sotorasib + Concurrent Pembro

| TRAE, n (%)    | Sotorasib 120 mg<br>(n = 5) |          | Sotorasib 360 mg<br>(n = 8) |          | Sotorasib 720 mg<br>(n = 2) |          | Sotorasib 960 mg<br>(n = 4) |          |
|----------------|-----------------------------|----------|-----------------------------|----------|-----------------------------|----------|-----------------------------|----------|
|                | Any                         | Grade ≥3 |
| All TRAEs      | 5 (100)                     | 4 (80)   | 7 (88)                      | 6 (75)   | 2 (100)                     | 2 (100)  | 3 (75)                      | 3 (75)   |
| Hepatotoxicity | 2 (40)                      | 2 (40)   | 3 (38)                      | 2 (25)   | 2 (100)                     | 2 (100)  | 3 (75)                      | 3 (75)   |
| ALT increased  | 2 (40)                      | 1 (20)   | 3 (38)                      | 1 (13)   | 2 (100)                     | 2 (100)  | 3 (75)                      | 3 (75)   |
| AST increased  | 2 (40)                      | 2 (40)   | 3 (38)                      | 0        | 2 (100)                     | 2 (100)  | 3 (75)                      | 1 (25)   |

- Concurrent therapy resulted in higher rate of TRAEs than with either monotherapy, no grade 5 TRAEs
- Trend toward fewer liver enzyme elevations, but sample size was small
- Based on safety data for concurrent dosing, lead-in with sotorasib was considered

## CodeBreaK100/101 Clinical Trial

#### Safety Summary With Lead-in vs Concurrent Sotorasib + ICI

| Event  | Sotorasib -             | + Atezolizumab             | Sotorasib + Pembrolizumab |                             |  |
|--|-------------------------|----------------------------|---------------------------|-----------------------------|--|
| Event  | Lead-in (n = 10)        | Concurrent (n = 10)        | Lead-in (n = 19)          | Concurrent (n = 19)         |  |
| <ul><li>TRAE, any grade, n (%)</li><li>Grade 3</li><li>Grade 4</li></ul> | 10 (100)<br>3 (30)<br>0 | 9 (90)<br>5 (50)<br>1 (10) | 15 (79)<br>10 (53)<br>0   | 17 (89)<br>14 (74)<br>1 (5) |  |
| TRAE leading to sotorasib and/or ICI discontinuation, n (%)              | 1 (10)                  | 5 (50)                     | 6 (32)                    | 10 (53)                     |  |
| Median duration of sotorasib, mo (min, max)                              | 6.5 (1, 18)             | 4.4 (1, 14)                | 2.8 (1, 15)               | 4.9 (2 <i>,</i> 30)         |  |
| Median duration of combination, mo (min, max)                            | 1.5 (0, 18)             | 2.5 (1, 14)                | 0.7 (1, 15)               | 2.3 (1, 9)                  |  |
| Median onset of grade ≥3 hepatotoxicity, days (range)                    | 50 (28-93)              | 67 (36-147)                | 73 (45-127)               | 51 (29-190)                 |  |

- No treatment-related deaths reported
- Sotorasib lead-in had reduced incidence of grade 3/4 TRAEs and TRAE-related discontinuation
- 88% of patients had first occurrence of grade 3/4 hepatotoxicity outside of DLT window;
   97% of events resolved with corticosteroids, treatment modification, and/or discontinuation

#### TRAEs With Sotorasib Lead-in + Pembrolizumab

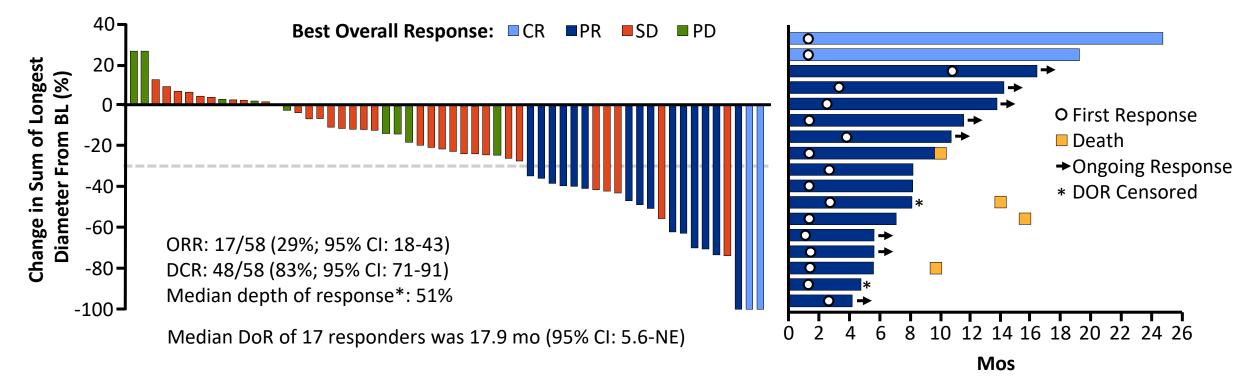
|                    | Sotorasib 1 | Sotorasib 120 mg (n = 3) |        | 240 mg (n = 5) | Sotorasib 36 | Sotorasib 360 mg (n = 11) |  |
|--------------------|-------------|--------------------------|--------|----------------|--------------|---------------------------|--|
| TRAE, n (%) Any    |             | Grade ≥3                 | Any    | Grade ≥3       | Any          | Grade ≥3                  |  |
| All TRAEs          | 3 (100)     | 3 (100)                  | 3 (60) | 1 (20)         | 9 (82)       | 6 (55)                    |  |
| ALT increased      | 2 (67)      | 2 (67)                   | 1 (20) | 1 (20)         | 6 (55)       | 3 (27)                    |  |
| AST increased      | 2 (67)      | 2 (67)                   | 1 (20) | 1 (20)         | 6 (55)       | 2 (18)                    |  |
| ALP increased      | 2 (67)      | 0                        | 0      | 0              | 3 (27)       | 2 (18)                    |  |
| • Diarrhea         | 1 (33)      | 0                        | 1 (20) | 0              | 6 (55)       | 1 (9)                     |  |
| Arthralgia         | 1 (33)      | 0                        | 0      | 0              | 2 (18)       | 0                         |  |
| Nausea             | 0           | 0                        | 0      | 0              | 4 (36)       | 0                         |  |
| Fatigue            | 0           | 0                        | 0      | 0              | 4 (36)       | 0                         |  |
| Hypokalemia        | 0           | 0                        | 0      | 0              | 3 (27)       | 2 (18)                    |  |
| Decreased appetite | 0           | 0                        | 0      | 0              | 3 (27)       | 0                         |  |
| Headache           | 0           | 0                        | 0      | 0              | 2 (18)       | 0                         |  |
| Hepatotoxicity     | 2 (67)      | 2 (67)                   | 2 (40) | 1 (20)         | 6 (55)       | 5 (45)                    |  |



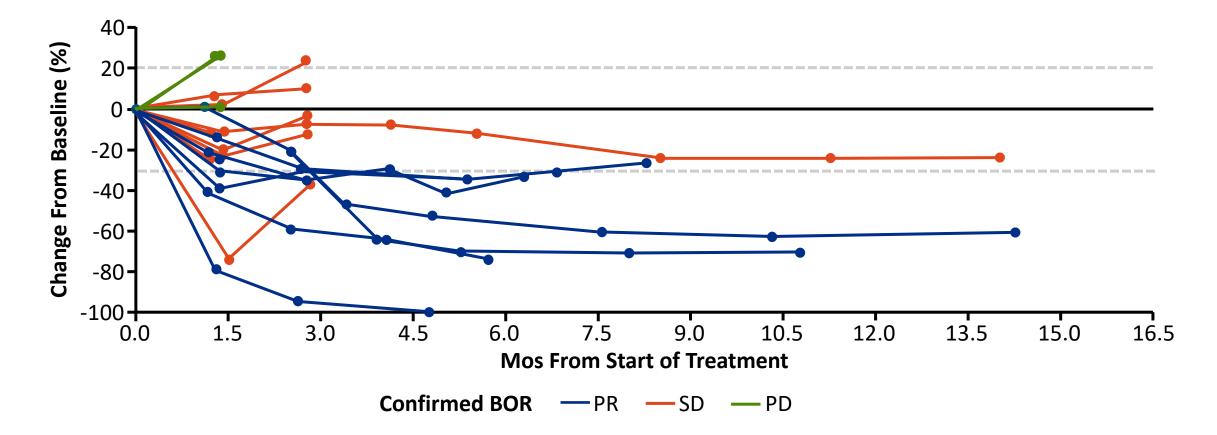
### TRAEs With Sotorasib Lead-in + Pembrolizumab

Response

**Duration of Response** 

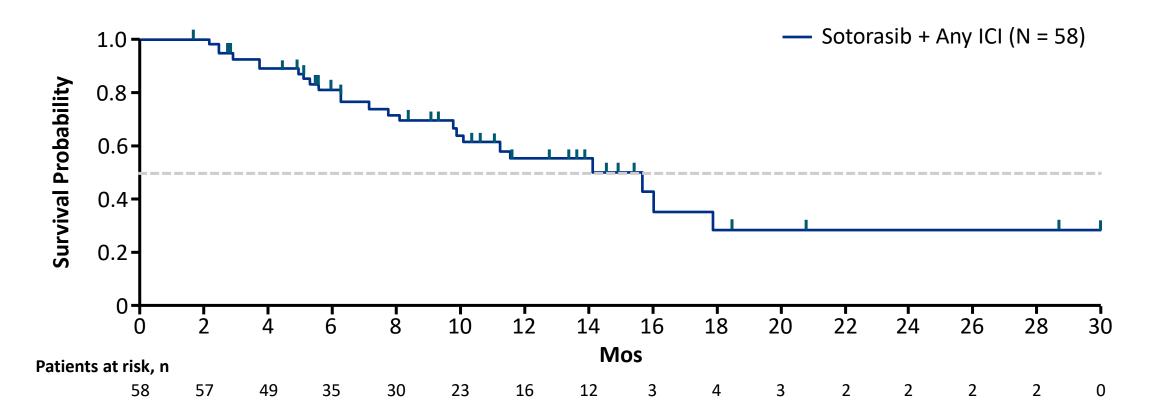


## Change in Tumor Burden in Sotorasib Lead-in + Pembrolizumab Cohort





### **Overall Survival across all cohorts**





- Sotorasib plus atezolizumab or pembrolizumab showed promising efficacy but resulted in high incidence of grade ≥3 TRAEs
  - Hepatotoxicity most common grade ≥3 TRAE; median onset of 50-73 d that resolved with corticosteroids and/or treatment modifications/discontinuation
  - Other grade ≥3 TRAEs were uncommon and included diarrhea and hypokalemia
- Sotorasib lead-in reduced incidence of grade ≥3 TRAEs and TRAEs leading to discontinuation vs concurrent administration
- ORR with combination of sotorasib + atezolizumab or pembrolizumab was 29% and DCR 83% across all cohorts; median DoR: 17.9 mo (95% CI: 5.6-NE)

# Sotorasib in combination with IO therapy reveals promising benefit and relatively low rates of toxicity with a lead-in dosing strategy

More to come...



## **Key Studies**

### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

### Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung 1
- CheckMate-9LA
- CheckMate-227
- POSEIDON

## Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreak100/101
- EXCLAIM
- ALTA-1L



## Does mobocertinib provide benefit for patients with EGFR exon 20 insertion-positive metastatic non-small cell lung cancer (NSCLC) after progression on platinum-based chemotherapy?

On September 15, 2021, the Food and Drug Administration granted accelerated approval to mobocertinib (Exkivity, Takeda Pharmaceuticals, Inc.) for adult patients with locally advanced or metastatic non-small cell lung cancer (NSCLC) with epidermal growth factor receptor (EGFR) exon 20 insertion mutations, as detected by an FDA-approved test, whose disease has progressed on or after platinum-based chemotherapy.



## Study Design: 3-part, non-randomized, open-label, phase 1/2 clinical trial

### **Cohort 1:** prior platinum n=22

**Refractory EGFR ex 20 ins; no active measurable CNS metastases** 

#### Part 1 Phase 1 dose escalation: 3 + 3 design

- Advanced NSCLC
- ECOG PS<2

### Part 2

Phase 2 expansion: Mobocertinib 160 mg QD **Primary endpoint**:

ORR by RECIST v1.1 Secondary endpoints:

Safety, tolerability, PK, efficacy

| <b>Cohort 2</b> : refractory HER2 exon 20 insertions or point mut; no active measurable CNS metastases    |
|---|
|   |
| <b>Cohort 3:</b> refractory EGFR or HER2 exon 20 insertions or pointt mut; with measurable CNS metastases |
|   |
| Cohort 4: treatment naïve or refractory; other EFGR muts: +/- T790M, uncommon EGFR                        |
|   |
| <b>Cohort 5:</b> refractory HER2 exon 20 insertions with prior response to EGFR TKI                       |
|   |
| Cohort 6: Treatment naïve EGFR exon 20 insertions   |
|   |

Cohort 7: Refractory other tumor types (non-NSCLC) with EGFR/HER2 mutations

### Part 3: EXCLAIM

Extension cohort: n=96 (prior platinum; n=86) **Previously treated patients with EGFR exon 20 insertions** 

Conducted between June 2016 and November 2020 (data cutoff date)

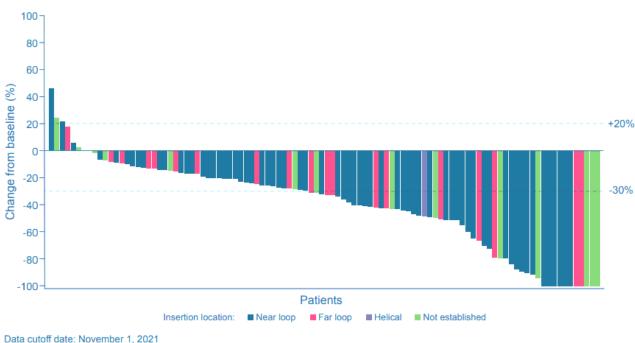
### **Baseline Characteristics**

| Characteristic, n (%)   | No (%)<br>PPP cohort<br>(n=114)     | EXCLAIM<br>cohort<br>(n=96)      |
|---|-------------------------------------|----------------------------------|
| Age, median (range), y  | 60 (27-84)                          | 59 (27-80)                       |
| Sex, Female   | 75 (66)                             | 62 (65)                          |
| <ul> <li>Race</li> <li>Asian</li> <li>Black or African American</li> <li>White</li> <li>Not reported</li> </ul> | 68 (60)<br>3 (3)<br>42 (37)<br>1(1) | 66 (69)<br>2 (2)<br>28 (29)<br>0 |
| <ul><li>Ethnicity</li><li>Hispanic or Latino</li></ul>  | 113 (99)                            | 95 (99)                          |
| <ul><li>Histology Type</li><li>Adenocarcinoma</li><li>Squamous</li><li>Large cell</li></ul>                     | 112 (98)<br>1 (1)<br>1 (1)          | 95 (99)<br>1 (1)<br>0            |
| Baseline brain metastases   | 40 (35)                             | 33 (34)                          |

| Characteristic, n (%)  | No (%)<br>PPP cohort (n=114)  | EXCLAIM<br>cohort<br>(n=96)   |
|--|-------------------------------|-------------------------------|
| ECOG status  |                               |                               |
| • 0<br>• 1   | 29 (25)<br>85 (75)            | 28 (29)<br>68 (71)            |
| <ul><li>Smoking history</li><li>Never</li><li>Former</li><li>Current</li></ul> | 81 (71)<br>31 (27)<br>2 (2)   | 70 (73)<br>24 (25)<br>2 (2)   |
| <ul> <li># of prior therapies</li> <li>1</li> <li>2</li> <li>3</li> </ul>      | 47 (41)<br>36 (32)<br>31 (27) | 49 (51)<br>30 (31)<br>27 (18) |
| Platinum-based<br>chemo  | 114 (100)                     | 86 (90)                       |
| Immunotherapy  | 49 (43)                       | 33 (34)                       |
| EGFR TKI   | 29 (25)                       | 30 (31)                       |

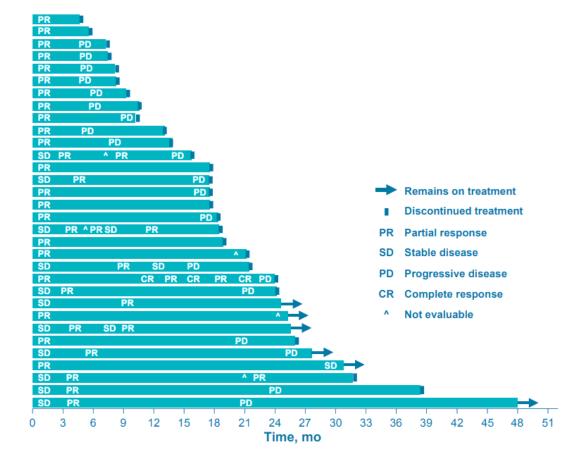
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## Best percentage change in target lesions



a Includes patients with measurable disease who have at least 1 post-baseline assessment.

## Objective response by time on treatment

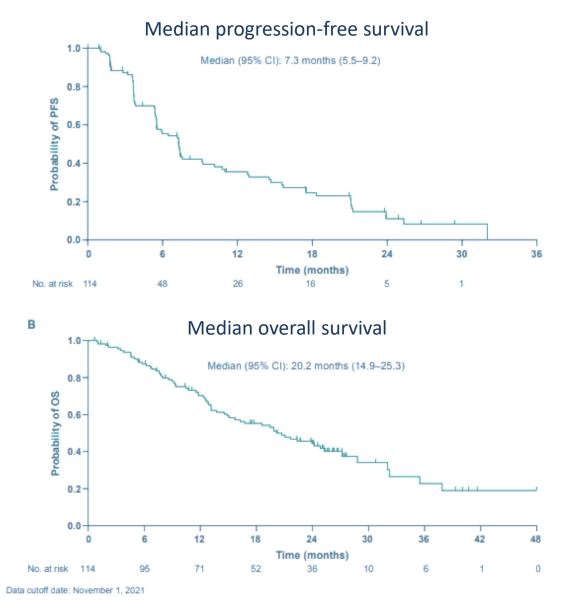


Data cutoff date: November 1, 2021

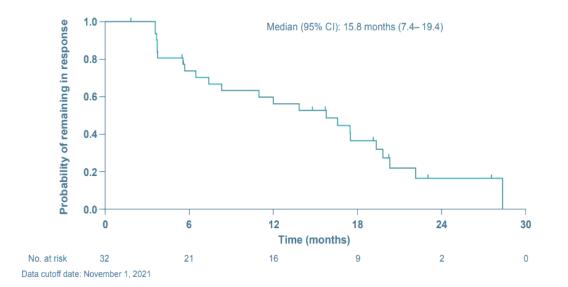
## Efficacy by subgroups

|                                    |                             | n/N    | ORR, % (95% CI)  |
|------------------------------------|-----------------------------|--------|------------------|
| Overall                            | ⊢••                         | 32/114 | 28.1 (20.1-37.3) |
| Sex                                |                             |        |                  |
| Female                             | <b>⊢∳</b> −−1               | 22/75  | 29.3 (19.4-41.0) |
| Male                               | <b>⊢_</b> ● <mark>  </mark> | 10/39  | 25.6 (13.0-42.1) |
| Age                                |                             |        |                  |
| <65 y                              | <b>⊢</b> ••−-1              | 23/72  | 31.9 (21.4-44.0) |
| ≥65 y                              |                             | 9/42   | 21.4 (10.3-36.8) |
| Race                               |                             |        |                  |
| Asian                              | <b>⊢</b>  ●                 | 21/68  | 30.9 (20.2-43.3) |
| Non-Asian                          |                             | 10/45  | 22.2 (11.2–37.1) |
| Geographic region                  |                             |        |                  |
| Asia Pacific (China, Japan, other) | <b>⊢</b> •                  | 16/55  | 29.1 (17.6–42.9) |
| North America                      | <b>⊢</b> • <u>−</u> •       | 14/53  | 26.4 (15.3-40.3) |
| Europe                             | <b>⊢ ¦</b> ● <b>−</b> − − 1 | 2/6    | 33.3 (4.3–77.7)  |
| Smoking status                     |                             |        |                  |
| Yes                                | <b>⊢</b> • <b>•</b> −−1     | 9/33   | 27.3 (13.3–45.5) |
| No                                 | <b>⊢</b> •                  | 23/81  | 28.4 (18.9–39.5) |
| Baseline brain metastasis          |                             |        |                  |
| Yes                                | ⊢● ; I                      | 7/40   | 17.5 (7.3–32.8)  |
| No                                 | lite −i                     | 25/74  | 33.8 (23.2–45.7) |
| Prior immunotherapy                |                             |        |                  |
| Yes                                |                             | 12/49  | 24.5 (13.3–38.9) |
| No                                 |                             | 20/65  | 30.8 (19.9–43.5) |
| Prior EGFR TKI treatment           |                             |        |                  |
| Yes                                |                             | 6/29   | 20.7 (8.0-39.7)  |
| No                                 | ⊢ <mark>,</mark> ●−-i       | 26/85  | 30.6 (21.1–41.5) |
| No. of prior lines of therapy      |                             |        |                  |
| 1                                  |                             | 14/47  | 29.8 (17.3-44.9) |
| 2                                  |                             | 10/36  | 27.8 (14.2-45.2) |
| ≥3                                 |                             | 8/31   | 25.8 (11.9–44.6) |
|                                    | 0 20 40 60 80 100           |        |                  |
|                                    | Confirmed ORR, % (95% CI)   |        |                  |
|                                    |                             |        |                  |

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#### Median duration of response



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## Safety

| Adverse events, n (%)                | No (%)<br>PPP cohort (n=114) |          | EXCLAIM   | cohort (n=96) |
|--------------------------------------|------------------------------|----------|-----------|---------------|
|                                      | Any grade                    | Grade ≥3 | Any grade | Grade ≥3      |
| Any                                  | 114 (100)                    | 79 (69)  | 96 (100)  | 63 (66)       |
| Any treatment-related                | 113 (99)                     | 54 (47)  | 95 (99)   | 40 (42)       |
| Serious                              | 56 (49)                      | 52 (46)  | 45 (47)   | 42 (44)       |
| Leading to dose reduction            | 29 (25)                      |          | 21 (22)   |               |
| Leading to treatment discontinuation | 19 (17)                      |          | 10 (10)   |               |



- Treatment with mobocertinib provided clinical benefit to patients that were platinum pretreated with exon 20 insertion-positive mNSCLC (cohort 1 and the EXCLAIM study)
  - Confirmed ORR was 28% per IRC and 35% per investigators in the PPP cohort
  - DCR was 78%; median DoR was 15.8 months; median PFS was 7.3 months; median OS was 20.2 months
- The safety profile was manageable and consistent with the known profile for EGFR TKIs

The EXCLAIM-2 Clinical Study is an investigational study testing the safety and effectiveness of an investigational medication versus platinum-based chemotherapy for patients with non-small cell lung cancer (NSCLC) with tumors that are positive for an EGFR exon 20 insertion mutation who have not yet been treated

# Mobocertinib provides benefit and an additional treatment option for patients with NSCLC EGFR exon 20 insertions

Testing of patients is critical

More to come...



## **Key Studies**

### (Neo)Adjuvant NSCLC

- ADAURA
- CheckMate-816
- NADIM II
- KEYNOTE-091

### Metastatic NSCLC and Actionable NSCLC

- EMPOWER-Lung 1
- CheckMate-9LA
- CheckMate-227
- POSEIDON

## Targeted Therapy in NSCLC

- KRYSTAL-1
- CodeBreaK100/101
- EXCLAIM
- ALTA-1L



## Does brigatinib provide benefit for patients with ALK inhibitor-naïve advanced ALK-positive nonsmall cell lung cancer (NSCLC)?

Final analysis

On May 22, 2020, the Food and Drug Administration approved brigatinib (ALUNBRIG, Takeda) for adult patients with anaplastic lymphoma kinase (ALK)-positive metastatic non-small cell lung cancer (NSCLC) as detected by an FDAapproved test.



## Study Design: randomized, open-label, phase 3, multicenter, international study

Patients aged 18 years or older with locally advanced or metastatic NSCLC who had not received ALK-targeted therapy Asymptomatic or stable central nervous system (CNS) metastases were permitted

Until PD, intolerable toxicity, or another discontinuation criterion

Patients in the crizotinib arm could cross over to brigatinib after BIRC-assessed progression (after 10-d washout from crizotinib)

#### Primary Endpoint: PFS by BIRC

**Secondary Endpoints**: BIRC-assessed confirmed objective response rate (ORR), confirmed intracranial ORR, intracranial PFS, overall survival (OS), duration of response, safety, and change from baseline in GHS/QoL (per EORTC QLQ-C30) **Exploratory end points** included BIRC-assessed PFS and confirmed ORR on brigatinib in patients who crossed over after BIRC-confirmed disease progression on crizotinib, and

relationship between PFS and AUC. Investigator assessments of PFS were also analyzed.

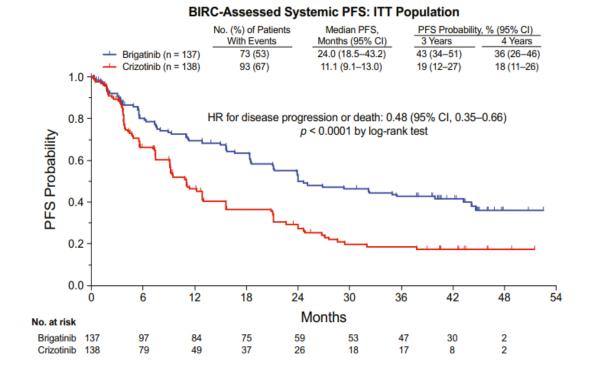
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### **Baseline Characteristics**

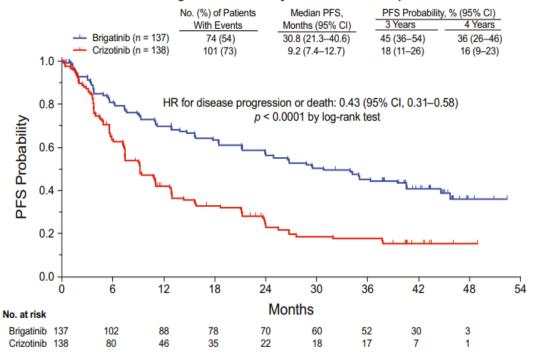
| Characteristic, n (%)   | Brigatinib                  | Crizotinib                  | Total                          |
|---|-----------------------------|-----------------------------|--------------------------------|
| Age, median, range  | 58 (27-86)                  | 60 (28-89)                  | 59 (27-89)                     |
| Sex, female   | 69 (50)                     | 81 (59)                     | 150 (55)                       |
| Race<br>• Non-Asian<br>• Asian  | 78 (57)<br>59 (43)          | 89 (64)<br>49 (36)          | 167 (61)<br>108 (39)           |
| ECOG PS<br>• 0 or 1<br>• 2  | 131 (96)<br>6 (4)           | 132 (96)<br>6 (4)           | 263 (96)<br>12 (4)             |
| <ul><li>History of tobacco use</li><li>Never</li><li>Former</li><li>Current</li></ul> | 84 (61)<br>49 (36)<br>4 (3) | 75 (54)<br>56 (41)<br>7 (5) | 159 (58)<br>105 (38)<br>11 (4) |
| <ul><li>Stage of disease at trial entry</li><li>IIIB</li><li>IV</li></ul>             | 8 (6)<br>129 (94)           | 12 (9)<br>126 (91)          | 20 (7)<br>255 (93)             |

| Characteristic, n (%)  | Brigatinib                                  | Crizotinib                       | Total   |
|--|---|----------------------------------|---|
| <ul> <li>Histologic type</li> <li>Adenocarcinoma</li> <li>Adenosquamous carcinoma</li> <li>Squamous cell carcinoma</li> <li>Large cell carcinoma</li> <li>Other</li> </ul> | 126 (92)<br>3 (2)<br>4 (3)<br>2 (1)<br>2(1) | 137 (99)<br>1 (1)<br>0<br>0<br>0 | 263 (96)<br>4 (1)<br>4 (1)<br>2 (1)<br>2 (1)<br>2 (1) |
| ALK status   | 123 (90)                                    | 112 (81)                         | 235 (85)  |
| Brain Mets   | 40 (29)                                     | 41 (30)                          | 81 (29)   |
| Prior RT to brain  | 18 (13)                                     | 19 (14)                          | 37 (13)   |
| Prior chemotherapy   | 36 (26)                                     | 37 (27)                          | 73 (27)   |

## Primary Endpoint: PFS



#### Investigator-Assessed Systemic PFS: ITT Population



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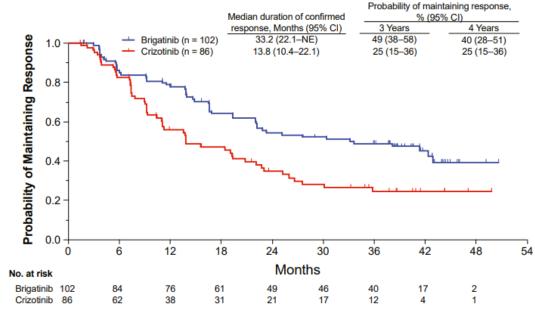
Brigatinib Better Crizotinib Better

### PFS by subgroup

## **Duration of Response**

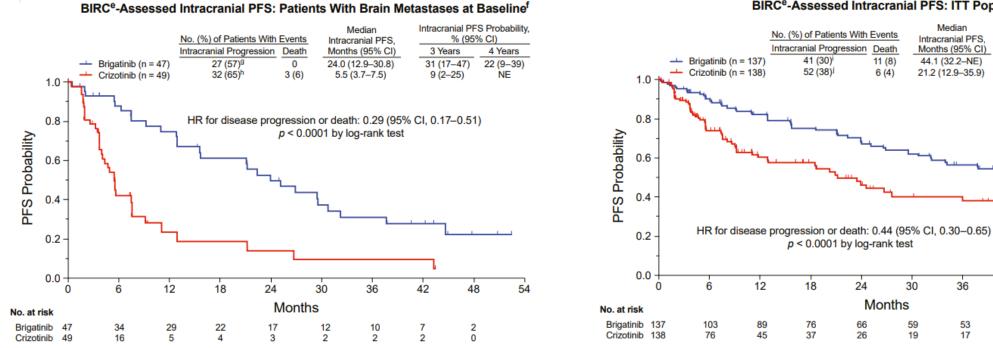
| Subgroup                                  |                               | lo. of Patients<br>atinib/Crizotini | b          | HR for Disease<br>Progression or Death (95% CI) |
|---|-------------------------------|-------------------------------------|------------|---|
| Overall                                   |                               | 137/138                             | <b></b> !  | 0.48 (0.35—0.66)                                |
| Age                                       | 18 to 64 years<br>≥65 years   | 93/95<br>44/43                      |            | 0.42 (0.29 — 0.63)<br>0.58 (0.33 — 1.01)        |
| Sex                                       | Female<br>Male                | 69/81<br>68/57                      |            | 0.47 (0.30 — 0.73)<br>0.48 (0.30 — 0.75)        |
| Race                                      | Non-Asian<br>Asian            | 78/89<br>59/49                      |            | 0.56 (0.38 — 0.84)<br>0.35 (0.20 — 0.59)        |
| Smoking statusª                           | Never smoker<br>Former smoker | 84/75<br>50/56                      |            | 0.43 (0.28 — 0.65)<br>0.48 (0.29 — 0.80)        |
| ECOG perfomance status <sup>b</sup>       | 0<br>1                        | 54/53<br>76/78                      |            | 0.25 (0.13 — 0.48)<br>0.54 (0.37 — 0.79)        |
| Brain metastases at baseline <sup>c</sup> | Yes<br>No                     | 40/41<br>97/97                      |            | 0.25 (0.14 — 0.46)<br>0.62 (0.43 — 0.91)        |
| Prior chemotherapy <sup>d</sup>           | Yes<br>No                     | 36/37<br>101/101                    |            | 0.45 (0.24 — 0.83)<br>0.50 (0.35 — 0.73)        |
|   |                               |                                     | 0.0 0.5 1. | 0 1.5 2.0                                       |

### Duration of Response in Confirmed Responders



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### Intracranial PFS



#### BIRC<sup>e</sup>-Assessed Intracranial PFS: ITT Population

11 (8)

6(4)

No. (%) of Patients With Events

Intracranial Progression Death

p < 0.0001 by log-rank test

24

66 26

Months

30

59 19

36

53 17

42

31

9

18

76

37

41 (30)<sup>i</sup>

52 (38)<sup>j</sup>

Median

Intracranial PFS,

Months (95% CI)

44.1 (32.2-NE)

21.2 (12.9-35.9)

Intracranial PFS Probability,

% (95% CI)

38 (27-49) 33 (19-47)

48

2 2

54

4 Years

46 (34-57)

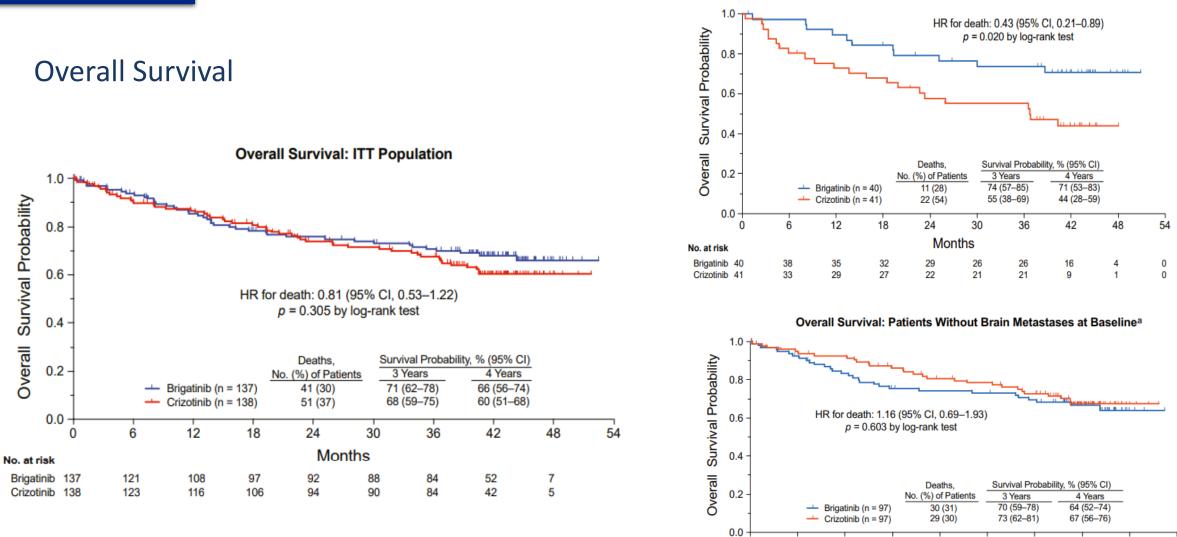
3 Years

56 (47-66)

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Overall Survival: Patients With Brain Metastases at Baseline<sup>a</sup>

Months

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No. at risk Brigatinib 97

Crizotinib 97

## Safety

| Patients with 1 event, n (%)                       | Brigatinib (n = 136) | Crizotinib (n = 137) |
|--|----------------------|----------------------|
| Any-grade adverse                                  | 136 (100)            | 137 (100)            |
| Grade 3-4 adverse event                            | 95 (70)              | 77 (56)              |
| Adverse events leading to death (grade 5)          | 11 (8)               | 11 (8)               |
| Treatment-related                                  | 0                    | 0                    |
| Adverse event leading to treatment discontinuation | 18 (13)              | 12 (9)               |
| Adverse event leading to dose reduction            | 60 (44)              | 34 (25)              |
| Adverse event leading to dose interruption         | 98 (72)              | 65 (47)              |



- In the final analysis, with longer follow-up, brigatinib continued to exhibit superior efficacy and tolerability versus crizotinib in patients with or without brain metastases
- Brigatinib showed consistent superiority in progression-free survival (PFS) versus crizotinib

## Brigatinib provides clinical benefit and an alternative option for patients with ALK inhibitor-naïve advanced ALK-positive NSCLC

